

**Event 5307 Maize:**  
**Copy Number Functional Element Southern Blot  
Analysis**

**AMENDED REPORT NO.1**

<b>Data Requirement:</b>	Not applicable
<b>Author:</b>	Stephen New
<b>Study Completion Date:</b>	November 3, 2010
<b>Performing Laboratory:</b>	Syngenta Biotechnology, Inc. Product Safety 3054 East Cornwallis Road PO Box 12257 Research Triangle Park, NC 27709-2257, USA
<b>Syngenta Study No.:</b>	Not applicable
<b>Report No.:</b>	SSB-189-10 A1

**STATEMENTS OF DATA CONFIDENTIALITY CLAIMS**

*The following statement applies to submissions to the United States Environmental Protection Agency (US EPA).*

**Statement of No Data Confidentiality Claim**

No claim of confidentiality is made for any information contained in this report on the basis of its falling within the scope of Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) Section 10 (d) (1) (A), (B), or (C).

**Company:** *Syngenta Seeds, Inc.*

**Company Representative:**

Demetra Vlachos  
*Regulatory Affairs Manager*



Date

These data are the property of Syngenta Seeds, Inc. and, as such, are considered to be confidential for all purposes other than compliance with the regulations implementing FIFRA Section 10. Submission of these data in compliance with FIFRA does not constitute a waiver of any right to confidentiality that may exist under any other provision of common law or statute or in any other country.

*The following statement applies to submissions to regulatory agencies and other competent authorities other than the US EPA and all other viewers.*

**This Document Contains Confidential Business Information**

This document contains information that is proprietary to Syngenta and, as such, is considered to be confidential for all purposes other than compliance with the relevant registration procedures.

Without the prior written consent of Syngenta, this information may (i) not be used by any third party including, but not limited to, any other regulatory authority for the support of regulatory approval of this product or any other product, and (ii) not be published or disclosed to any third party including, but not limited to, any authority for the support of regulatory approval of any products.

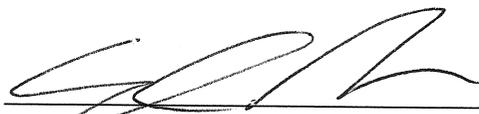
Its submission does not constitute a waiver of any right to confidentiality that may exist in any other country.

© 2010. Syngenta. All Rights Reserved.

**STATEMENT CONCERNING GOOD LABORATORY PRACTICES STANDARDS**

This study was not conducted in compliance with the relevant provisions of Good Laboratory Practices Standards (GLPS) (40 CFR Part 160, US EPA 1989) pursuant to the Federal Insecticide, Fungicide, and Rodenticide Act. However, all components of the study were performed according to accepted scientific practices, and relevant study records (including raw data) have been retained.

**Study Director:**



Stephen New  
*Technical Expert I*  
 Product Safety  
 Syngenta Biotechnology, Inc.

November 3, 2010

Date

**Submitted by:**

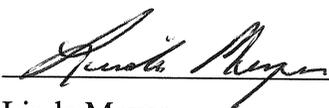


Demetra Vlachos  
*Regulatory Affairs Manager*  
 Syngenta Seeds, Inc.  
 3054 East Cornwallis Road  
 PO Box 12257  
 Research Triangle Park, NC 27709-2257, USA

November 2, 2010

Date

**Sponsor:**



Linda Meyer  
*Technical Leader III*  
 Product Safety  
 Syngenta Biotechnology, Inc.

November 3, 2010

Date

TABLE OF CONTENTS

**STATEMENTS OF DATA CONFIDENTIALITY CLAIMS .....2**

**STATEMENT CONCERNING GOOD LABORATORY PRACTICES STANDARDS .....3**

**LIST OF FIGURES.....5**

**LIST OF TABLES .....6**

**LIST OF ACRONYMS AND ABBREVIATIONS .....7**

**REPORT AMENDMENTS .....9**

**SUMMARY .....10**

**INTRODUCTION .....12**

**MATERIALS AND METHODS .....13**

Genetic Elements for 5307 Maize in Plasmid pSYN12274..... 13

Test, Control, and Reference Substances ..... 18

Plant Tissue for Genomic DNA Extraction ..... 20

Genomic DNA Extraction ..... 20

DNA Quantitation ..... 20

Southern Blot Analyses ..... 20

Copy Number Functional Element Analysis Using Element-specific Probes ..... 22

Copy Number Functional Element Analysis Using a Plasmid Backbone-specific Probe ..... 23

Statistical Analysis ..... 23

**RESULTS .....23**

Copy Number of Functional Elements: *ecry3.1Ab*-specific Probe ..... 23

Copy Number of Functional Elements: *pmi*-specific Probe ..... 29

Copy Number of Functional Elements: CMP promoter-specific Probe..... 33

Copy Number of Functional Elements: ZmUbiInt promoter-specific Probe ..... 39

Copy Number of Functional Elements: NOS terminator-specific Probe ..... 47

Analysis for Plasmid pSYN12274 Backbone-specific Sequence ..... 53

Data Quality and Integrity..... 55

**CONCLUSION .....55**

**RECORDS RETENTION .....56**

**CONTRIBUTING SCIENTISTS .....56**

**REFERENCES.....57**

Published ..... 57

Unpublished ..... 59

**LIST OF FIGURES**

Figure 1. Map of plasmid pSYN12274 indicating the restriction sites used in the Southern blot analyses (shown in bold type)..... 17

Figure 2. Pedigree history for 5307 maize indicating the generations used in the study presented in this report ..... 19

Figure 3. Location of the *KpnI*, *NcoI*, *SmaI*, and *PmeI* restriction sites and position of the 1962 bp *ecry3.1Ab*-specific probe in the T-DNA region of the transformation plasmid pSYN12274 ..... 24

Figure 4. Copy number of functional elements Southern blot analysis of 5307 maize with the 1962 bp *ecry3.1Ab*-specific probe, using restriction enzymes *KpnI*, *NcoI*, and *SmaI* + *PmeI* ..... 26

Figure 5. Location of the *BstEII*, *SpeI*, *SmaI*, and *PmeI* restriction sites and position of the 1176 bp *pmi*-specific probe in the T-DNA region of the transformation plasmid pSYN12274..... 30

Figure 6. Copy number of functional elements Southern blot analysis of 5307 maize with the 1176 bp *pmi*-specific probe, using restriction enzymes *BstEII*, *SpeI*, and *SmaI* + *PmeI*..... 32

Figure 7. Location of the *KpnI*, *SpeI*, *SmaI*, and *PmeI* restriction sites and position of the 346 bp CMP promoter-specific probe in the T-DNA region of the transformation plasmid pSYN12274 ... 34

Figure 8. Copy number of functional elements Southern blot analysis of 5307 maize with the 346 bp CMP promoter-specific probe, using restriction enzymes *KpnI*, *SpeI*, and *SmaI* + *PmeI* ..... 36

Figure 9. Location of the *BstEII*, *SpeI*, *SmaI*, and *PmeI* restriction sites and position of the 1993 bp ZmUbilnt promoter-specific probe in the T-DNA region of the transformation plasmid pSYN12274 ..... 41

Figure 10. Copy number of functional elements Southern blot analysis of 5307 maize with the 1993 bp ZmUbilnt promoter-specific probe, using restriction enzymes *BstEII*, *SpeI*, and *SmaI* + *PmeI* ..... 44

Figure 11. Location of the *KpnI*, *NcoI*, *SmaI*, and *PmeI* restriction sites and position of the 253 bp NOS terminator-specific probe in the T-DNA region of the transformation plasmid pSYN12274 . 48

Figure 12. Copy number of functional element Southern blot analysis of 5307 maize with the 253 bp NOS terminator-specific probe, using restriction enzymes *KpnI*, *NcoI*, and *SmaI* + *PmeI*..... 50

Figure 13. Location of the *BstEII*, *SpeI*, *SmaI*, and *PmeI* restriction sites and position of the 5312 bp plasmid backbone-specific probe in the transformation plasmid pSYN12274 ..... 54

Figure 14. Copy number of functional element Southern blot analysis of 5307 maize with the 5312 bp plasmid backbone-specific probe, using restriction enzymes *BstEII*, *SpeI*, and *SmaI* + *PmeI* ..... 55

## LIST OF TABLES

Table 1. Genetic elements in plasmid pSYN12274 .....	14
Table 2. Test and Control Substances.....	18
Table 3. Expected and observed hybridization band sizes in Southern blot analysis of 5307 maize, using an <i>ecry3.1Ab</i> -specific probe and restriction enzymes <i>KpnI</i> , <i>NcoI</i> , and <i>SmaI</i> + <i>PmeI</i> .....	25
Table 4. Expected and observed hybridization band sizes in Southern blot analysis of 5307 maize, using a <i>pmi</i> -specific probe and restriction enzymes <i>BstEII</i> , <i>SpeI</i> , and <i>SmaI</i> + <i>PmeI</i> .....	31
Table 5. Expected and observed hybridization band sizes in Southern blot analysis of 5307 maize, using a CMP promoter-specific probe and restriction enzymes <i>KpnI</i> , <i>SpeI</i> , and <i>SmaI</i> + <i>PmeI</i> .....	35
Table 6. Expected and observed hybridization band sizes in Southern blot analysis of 5307 maize, using a ZmUbilnt promoter-specific probe and restriction enzymes <i>BstEII</i> , <i>SpeI</i> , and <i>SmaI</i> + <i>PmeI</i> .....	42
Table 7. Expected and observed hybridization band sizes in Southern blot analysis of 5307 maize, using a NOS terminator-specific probe and restriction enzymes <i>KpnI</i> , <i>NcoI</i> , and <i>SmaI</i> + <i>PmeI</i> .....	49
Table 8. Expected and observed hybridization band sizes in Southern blot analysis of 5307 maize, using a plasmid pSYN12274 backbone-specific probe and restriction enzymes <i>BstEII</i> , <i>SpeI</i> , and <i>SmaI</i> + <i>PmeI</i> .....	54

## LIST OF ACRONYMS AND ABBREVIATIONS

<i>aadA</i>	streptomycin adenylyltransferase gene from <i>Escherichia coli</i> that confers resistance to streptomycin and spectinomycin
BC	backcross
bp	base pair
CMP	cestrum yellow leaf curling virus promoter
ColE1 ori	<i>Escherichia coli</i> origin of replication 1
Cry	crystal protein
<i>cryIAb</i>	Cry1Ab gene
Cry1Ab	Cry1Ab protein
<i>cry3A</i>	Cry3A gene
Cry3A	Cry3A protein
CTAB	cetyltrimethyl ammonium bromide
dCTP	deoxycytidine triphosphate
DNA	deoxyribonucleic acid
dsDNA	double-stranded DNA
<i>ecry3.1Ab</i>	eCry3.1Ab gene
eCry3.1Ab	eCry3.1Ab protein
EDTA	ethylenediaminetetraacetic acid
FIFRA	Federal Insecticide, Fungicide, and Rodenticide Act
g	gram
GLPS	Good Laboratory Practices Standards
HCl	hydrochloric acid
kb	kilobase
l	liter
LB	left border
M	molar
<i>manA</i>	phosphomannose isomerase gene
<i>mcry3A</i>	modified Cry3A gene
mCry3A	modified Cry3A protein
mg	milligram
ml	milliliter
mM	millimolar
N	normal
NaCl	sodium chloride
NaOH	sodium hydroxide
ng	nanogram
NOS	nopaline synthase
PCR	polymerase chain reaction
pg	picogram
<i>pmi</i>	phosphomannose isomerase gene
PMI	phosphomannose isomerase protein
RB	right border
<i>repA</i>	pVS1 replication gene from <i>Pseudomonas aeruginosa</i>
SDS	sodium dodecyl sulfate
SSC	sodium chloride–sodium citrate

LIST OF ACRONYMS AND ABBREVIATIONS (*Continued*)

<i>spec</i>	streptomycin adenylyltransferase gene from <i>Escherichia coli</i>
T <sub>0</sub>	original transformant
T-DNA	transferred DNA
TAE	Tris-Acetate-EDTA
TE	Tris-EDTA
Tris	2-amino-2(hydroxymethyl)-1,3-propanediol
US EPA	United States Environmental Protection Agency
× <i>g</i>	times gravity
v/v	volume to volume
<i>vir</i>	virulence regulon in <i>Agrobacterium tumefaciens</i>
<i>virG</i>	part of the two-component regulatory system for the virulence regulon in <i>Agrobacterium tumefaciens</i>
VirGN54D	VirG protein with a N54D substitution
VS1 ori	plasmid pVS1 origin of replication and partitioning region
w/v	weight to volume
×	cross
ZmUbiInt	<i>Zea mays</i> ubiquitin promoter with intron
α- <sup>32</sup> P	phosphorus-32 radioisotope
°C	degrees Celsius
®	registered trademark
™	trademark
μg	microgram
μl	microliter
⊗	self-pollination

## REPORT AMENDMENTS

### Amendment No. 1: November 3, 2010

This amended report has the following corrections:

On page 1, the department title has been changed to Product Safety.

On page 2, the Regulatory Affairs Manager name has been updated.

On page 3, the Regulatory Affairs Manager and Sponsor names have been updated, and position and department titles for the Study Director and the Sponsor have been updated.

On page 4, the Table of Contents has been updated.

On page 9, a new section has been added listing the Report Amendments.

On page 12, a reference citation was added.

On page 14, the Accession number for the gene *ecry3.IAb* has been updated, and typographical errors in the description of *ecry3.IAb* have been corrected.

On page 15, typographical errors in the description of *ecry3.IAb* have been corrected.

On pages 29, 33, 40, and 47, typographical errors have been corrected.

On page 56, the Sponsor name has been updated, and position and department titles for the Study Director and the Sponsor have been updated.

On page 58, an additional reference was added.

On page 59, references were shifted as a result of the addition of a reference on the previous page.

The corrected pages in this amended report SSB-189-10 A1 are indicated as “REVISED”.

## SUMMARY

Using the techniques of modern molecular biology, Syngenta has transformed maize (*Zea mays*) to produce Event 5307 maize, a new cultivar that has insecticidal activity against certain corn rootworm (*Diabrotica*) species. Maize plants derived from transformation Event 5307 ("5307 maize") contain the gene *ecry3.IAb* encoding an eCry3.1Ab protein and the gene *pmi* (also known as *mana*) encoding the enzyme phosphomannose isomerase (PMI).

Molecular analyses were performed to characterize the 5307 maize insert. Southern blot analyses were used to determine the number of integration sites within the maize genome, the copy number of each functional element in the insert (*ecry3.IAb*, *pmi*, the CMP promoter sequence, the ZmUbiInt promoter sequence, and the NOS terminator sequences), and the presence or absence of the plasmid backbone sequence.

Southern blot analyses were performed using standard molecular biology techniques. Each Southern blot contained a positive control and a negative control. The positive control, representing one copy of a fragment of known size in the maize genome, was included to demonstrate the sensitivity of each experiment; the negative control, deoxyribonucleic acid (DNA) extracted from plants grown from nontransgenic maize seed, was included in order to identify possible endogenous DNA sequences that hybridize with the probe. Two restriction enzyme digestion strategies were used in these Southern blot analyses. In the first strategy, maize genomic DNA was digested with an enzyme that cut once within the 5307 maize insert; the other recognition sites for this enzyme were located in the maize genome flanking the 5307 maize insert. This first strategy was used twice with two different enzymes to determine the copy number of the functional elements and the presence or absence of extraneous DNA fragments of the functional elements of plasmid pSYN12274 in other regions of the 5307 maize genome. In the second strategy, maize genomic DNA was digested with two enzymes that cut within the 5307 maize insert such that a DNA fragment of predictable size was generated. This strategy was used to determine the presence or absence of any closely linked extraneous DNA fragments of the functional elements of plasmid pSYN12274 in the 5307 maize genome. Six probes were used: an *ecry3.IAb*-specific probe covering every base of *ecry3.IAb* in plasmid pSYN12274, a *pmi*-specific probe covering every base of *pmi* in plasmid pSYN12274, a CMP promoter-specific probe covering every base of the CMP promoter sequence in plasmid pSYN12274, a ZmUbiInt promoter-specific probe covering every base of the ZmUbiInt promoter sequence in plasmid pSYN12274, a NOS terminator-specific probe covering every base of the NOS terminator sequence in plasmid pSYN12274, and a plasmid pSYN12274 backbone-specific probe covering every base of plasmid pSYN12274 present outside of the transferred DNA (T-DNA) region.

With the first digestion strategy, Southern blots probed with the *ecry3.IAb*-specific probe resulted in one hybridization band of the expected size. This was as expected since the restriction enzyme cut once within the 5307 maize insert yielding one fragment containing the *ecry3.IAb* sequence, which was detected by the probe. Detection of only one hybridization band indicated that there was only one copy of the *ecry3.IAb* sequence in the 5307 maize genome, and that there were no extraneous DNA fragments of

*ecry3.IAb* in other regions of the 5307 maize genome. Southern blots probed with the *pmi*-specific probe, the CMP promoter-specific probe, and the ZmUbiInt promoter-specific probe also resulted in one hybridization band of the expected size specific to the corresponding functional element. Southern blots probed with the NOS terminator-specific probe resulted in two hybridization bands of the expected sizes corresponding to the two NOS terminator sequences in the 5307 maize insert (the NOS terminator sequence regulating *ecry3.IAb* and the NOS terminator sequence regulating *pmi*). These results indicated that there were only one copy of *pmi*, the CMP promoter sequence, and the ZmUbiInt promoter sequence and two copies of the NOS terminator sequence as expected, and that there were no extraneous fragments of these functional elements in other regions of the 5307 maize genome.

With the second digestion strategy, Southern blots probed with the *ecry3.IAb*-specific probe, the *pmi*-specific probe, the CMP promoter-specific probe, the ZmUbiInt promoter-specific probe, and the NOS terminator-specific probe resulted in one hybridization band of the expected size specific to the corresponding functional element. Detection of only one hybridization band of the expected size associated with the functional element indicated that there were no closely linked DNA fragments of that element present in the 5307 maize genome.

With each of these digestion strategies, Southern blots probed with the plasmid pSYN12274 backbone-specific probe resulted in no hybridization bands in lanes containing DNA extracted from 5307 maize, indicating that there was no backbone sequence in the 5307 maize genome.

Southern blot analyses demonstrated that 5307 maize contains a single copy of *ecry3.IAb*, *pmi*, the CMP promoter sequence, and the ZmUbiInt promoter sequence and two copies of the NOS terminator sequence, as expected for a single insertion site. Results also indicated that there are no extraneous DNA fragments of the functional elements elsewhere in the 5307 maize genome, and that 5307 maize is free of backbone sequence from the transformation plasmid pSYN12274.

## INTRODUCTION

### Description of Event 5307 Maize

Using the techniques of modern molecular biology, Syngenta has transformed maize (*Zea mays*) to produce Event 5307 maize, a new cultivar that has insecticidal activity against certain corn rootworm (*Diabrotica*) species. Maize plants derived from transformation Event 5307 ("5307 maize") contain the gene *ecry3.1Ab* encoding an eCry3.1Ab protein and the gene *pmi* (also known as *manA*) encoding the enzyme phosphomannose isomerase (PMI). The eCry3.1Ab protein is an engineered chimera of modified Cry3A (mCry3A) and Cry1Ab proteins (Walters *et al.* 2010). The gene *pmi* was obtained from *Escherichia coli* strain K-12 and the protein it encodes was utilized as a plant selectable marker during development of 5307 maize.

### Description of the Transformation System and Method

Transformation of *Z. mays* to produce 5307 maize was accomplished using immature embryos of a proprietary maize line via *Agrobacterium tumefaciens*-mediated transformation, as described in Negrotto *et al.* 2000. Using this method, genetic elements within the left and right border regions of the transformation plasmid were efficiently transferred and integrated into the genome of the plant cell, while genetic elements outside these border regions were not transferred. Immature embryos were excised from 8 to 12 day old maize ears and rinsed with fresh medium in preparation for transformation. Embryos were mixed with a suspension of *A. tumefaciens* strain LBA4404 harboring plasmid pSB1 (Komari *et al.* 1996). The transformation plasmid pSYN12274 was vortexed for thirty seconds, and allowed to incubate for an additional five minutes. Excess *A. tumefaciens* suspension was aspirated and embryos were moved to plates containing a non-selective culture medium. Embryos were co-cultured with the remaining *A. tumefaciens* at 22°C for 2 to 3 days in the dark. Embryos were then transferred to culture medium supplemented with ticarcillin (200 mg/l) and silver nitrate (1.6 mg/l) and incubated in the dark for ten days. The gene *pmi* was used as a selectable marker during the transformation process (Negrotto *et al.* 2000). The embryos producing embryogenic callus were transferred to a cell culture medium containing mannose. After initial incubation with *A. tumefaciens*, the transformed tissue was transferred to selective media containing 500 mg/l of the broad-spectrum antibiotic cefotaxime and grown for four months, ensuring that the *A. tumefaciens* was cleared from the transformed tissue. Cefotaxime has been shown to kill *A. tumefaciens* at this concentration (Xing *et al.* 2008). Regenerated plantlets were tested for the presence of *pmi* and *ecry3.1Ab* and for the absence of the spectinomycin resistance gene (*spec*) present on the vector backbone, by real-time polymerase chain reaction (PCR) analysis (Ingham *et al.* 2001). This screen allows for the selection of transgenic events that carry the transferred deoxyribonucleic acid (T-DNA) and are free of vector backbone DNA. Plants positive for *pmi* and *ecry3.1Ab* and negative for *spec* were transferred to the greenhouse for further propagation.

## **Copy Number Functional Element Southern Blot Analysis**

Southern blot analyses were performed to characterize the 5307 maize insert. Included in this report are data and information describing the genetic elements of plasmid pSYN12274 (the transformation plasmid used to generate 5307 maize) and the molecular characterization of 5307 maize. The purpose of this study is to determine the number of insertion sites within the maize genome, the copy number of each functional element, and the presence or absence of plasmid backbone sequences.

## **MATERIALS AND METHODS**

### **Genetic Elements for 5307 Maize in Plasmid pSYN12274**

The genetic elements in plasmid pSYN12274, the 5307 maize transformation plasmid, are listed in Table 1 and mapped in Figure 1. Table 1 also contains a description of each constituent of plasmid pSYN12274, including the size in base pairs (bp) and the position within the plasmid. Figure 1 also shows the positions of the restriction sites used in the Southern blot analyses described in this report.

**Table 1. Genetic elements in plasmid pSYN12274**

Active ingredient cassette			
Genetic element	Size (bp)	Position	Description
Intervening sequence	203	26 to 228	Intervening sequence with restriction sites used for cloning
CMP promoter	346	229 to 574	Cestrum Yellow Leaf Curling Virus promoter region (Hohn <i>et al.</i> 2007). Provides constitutive expression in maize.
Intervening sequence	9	575 to 583	Intervening sequence with restriction sites used for cloning
<i>ecry3.1Ab</i>	1962	584 to 2545	<p>An engineered Cry gene active against certain corn rootworm (<i>Diabrotica</i>) species (Entrez® Accession No. GU327680 [NCBI 2010]). As an engineered chimeric protein, eCry3.1Ab has similarities to other well characterized Cry proteins. Because Cry proteins share structural similarities, chimeric Cry genes can be engineered <i>via</i> the exchange of domains that are homologous between different Cry genes. The gene <i>ecry3.1Ab</i> consists of a fusion between the 5' end (Domain I, Domain II and 15 AA of Domain III) of a modified Cry3A gene (<i>mcry3A</i>) and the 3' end (Domain III and Variable Region 6 [Hofte and Whiteley 1989]) of a synthetic Cry1Ab gene (see descriptions of <i>mcry3A</i> and <i>cry1Ab</i> below). Upstream of the <i>mcry3A</i> domain, the gene <i>ecry3.1Ab</i> carries a 67 bp long oligomer extension at its 5' end, which was introduced during the engineering of the variable regions and is translated into the following 22 amino acid residues: MTSNGRQCAGIRPYDGRQQHRG. The next 459 amino acid residues are identical to those of mCry3A, followed by 172 residues of Cry1Ab.</p> <p>Description of <i>mcry3A</i>: a maize-optimized <i>cry3A</i> was synthesized to accommodate the preferred codon usage for maize (Murray <i>et al.</i> 1989). The synthetic sequence was based on the native Cry3A protein sequence from <i>Bacillus thuringiensis</i> subsp. <i>tenebrionis</i> (Sekar <i>et al.</i> 1987). The maize-optimized gene was then modified to incorporate a consensus cathepsin-G protease recognition site within the expressed protein. The amino acid sequence of the encoded mCry3A corresponds to that of the native Cry3A, except that (1) its N-terminus</p>

**Table 1. Genetic elements in plasmid pSYN12274 (Continued)**

Genetic element	Size (bp)	Position	Description
			<p>corresponds to methionine-48 of the native protein and (2) a cathepsin G protease recognition site has been introduced, beginning at amino acid residue 155 of the native protein. This cathepsin-G recognition site has the sequence alanine-alanine-proline-phenylalanine, and has replaced the amino acids valine-155, serine-156, and serine-157 in the native protein (Chen and Stacy 2007).</p> <p>Description of <i>cry1Ab</i>: the gene <i>cry1Ab</i> was originally cloned from <i>Bacillus thuringiensis</i> subsp. <i>kurstaki</i> strain HD-1 (Geiser <i>et al.</i> 1986). Its amino acid sequence has been codon-optimized (Koziel <i>et al.</i> 1997) to accommodate the preferred codon usage for maize (Murray <i>et al.</i> 1989)</p>
Intervening sequence	30	2546 to 2575	Intervening sequence with restriction sites used for cloning
NOS terminator	253	2576 to 2828	Terminator sequence from the nopaline synthase gene of <i>Agrobacterium tumefaciens</i> (Entrez® Accession No. V00087 [NCBI 2010]). Provides a polyadenylation site (Depicker <i>et al.</i> 1982)
<b>Selectable marker cassette</b>			
Genetic element	Size (bp)	Position	Description
Intervening sequence	25	2829 to 2853	Intervening sequence with restriction sites used for cloning
ZmUbilnt promoter	1993	2854 to 4846	Promoter region from the maize polyubiquitin gene which contains the first intron (Entrez® Accession Number S94464 [NCBI 2010]). Provides constitutive expression in monocots (Christensen <i>et al.</i> 1992)
Intervening sequence	12	4847 to 4858	Intervening sequence with restriction sites used for cloning
<i>pmi</i>	1176	4859 to 6034	<i>Escherichia coli</i> gene <i>pmi</i> encoding the enzyme phosphomannose isomerase (PMI) (Entrez® Accession Number M15380 [NCBI 2010]); this gene is also known as <i>manA</i> . Catalyzes the isomerization of mannose-6-phosphate to fructose-6-phosphate (Negrotto <i>et al.</i> 2000)
Intervening sequence	60	6035 to 6094	Intervening sequence with restriction sites used for cloning
NOS terminator	253	6095 to 6347	Terminator sequence from the nopaline synthase gene of <i>Agrobacterium tumefaciens</i> (Entrez® Accession No. V00087 [NCBI 2010]). Provides a polyadenylation site (Depicker <i>et al.</i> 1982)

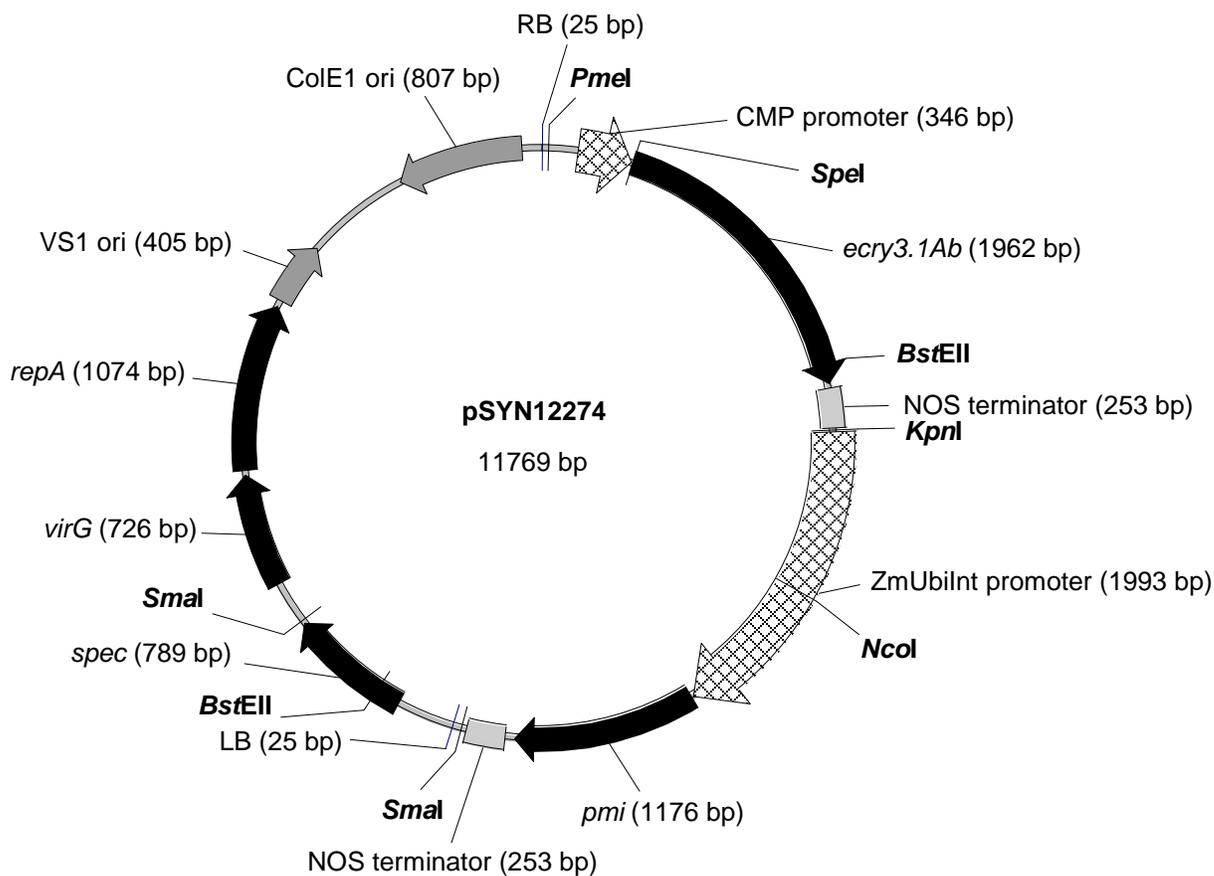
**Table 1. Genetic elements in plasmid pSYN12274 (Continued)**

Genetic element	Size (bp)	Position	Description
Intervening sequence	88	6348 to 6435	Intervening sequence with restriction sites used for cloning
<b>Plasmid backbone</b>			
Genetic element	Size (bp)	Position	Description
Left border (LB)	25	6436 to 6460	Left border region of T-DNA from <i>Agrobacterium tumefaciens</i> nopaline Ti-plasmid (Entrez® Accession Number J01825 [NCBI 2010]). Short direct repeat that flanks the T-DNA and is required for the transfer of the T-DNA into the plant cell (Zambryski <i>et al.</i> 1982)
Intervening sequence	349	6461 to 6809	Intervening sequence with restriction sites used for cloning
<i>spec</i>	789	6810 to 7598	Streptomycin adenylyltransferase, <i>aadA</i> gene from <i>Escherichia coli</i> transposon Tn7 (similar to Entrez® Accession Number X03043 [NCBI 2010]). Confers resistance to streptomycin and spectinomycin and is used as a bacterial selectable marker (Fling <i>et al.</i> 1985)
Intervening sequence	299	7599 to 7897	Intervening sequence with restriction sites used for cloning
<i>virG</i>	726	7898 to 8623	The VirGN54D gene ( <i>virG</i> ) from pAD1289 (similar to Entrez® Accession Number AF242881 [NCBI 2010]). The N54D substitution results in a constitutive <i>virG</i> phenotype. VirG is part of the two-component regulatory system for the virulence ( <i>vir</i> ) regulon in <i>Agrobacterium tumefaciens</i> (Hansen <i>et al.</i> 1994)
Intervening sequence	29	8624 to 8652	Intervening sequence with restriction sites used for cloning
<i>repA</i>	1074	8653 to 9726	Gene encoding the pVS1 replication protein from <i>Pseudomonas aeruginosa</i> (similar to Entrez® Accession Number AF133831 [NCBI 2010]), which is a part of the minimal pVS1 replicon that is functional in Gram-negative, plant-associated bacteria (Heeb <i>et al.</i> 2000)
Intervening sequence	42	9727 to 9768	Intervening sequence with restriction sites used for cloning
VS1 ori	405	9769 to 10173	Consensus sequence for the origin of replication and partitioning region from plasmid pVS1 of <i>Pseudomonas aeruginosa</i> (Entrez® Accession Number U10487 [NCBI 2010]). Serves as origin of replication in <i>Agrobacterium tumefaciens</i> host (Itoh <i>et al.</i> 1984)
Intervening sequence	677	10174 to 10850	Intervening sequence with restriction sites used for cloning

**Table 1. Genetic elements in plasmid pSYN12274 (Continued)**

Genetic element	Size (bp)	Position	Description
ColE1 ori	807	10851 to 11657	Origin of replication (similar to Entrez® Accession Number V00268 [NCBI 2010]) that permits replication of plasmids in <i>Escherichia coli</i> (Itoh and Tomizawa 1979)
Intervening sequence	112	11658 to 11769	Intervening sequence with restriction sites used for cloning
Right border (RB)	25	1 to 25	Right border region of T-DNA from <i>Agrobacterium tumefaciens</i> nopaline Ti-plasmid (Entrez® Accession Number J01826 [NCBI 2010]). Short direct repeat that flanks the T-DNA and is required for the transfer of the T-DNA into the plant cell (Wang <i>et al.</i> 1984)

**Figure 1. Map of plasmid pSYN12274 indicating the restriction sites used in the Southern blot analyses (shown in bold type)**



### Test, Control, and Reference Substances

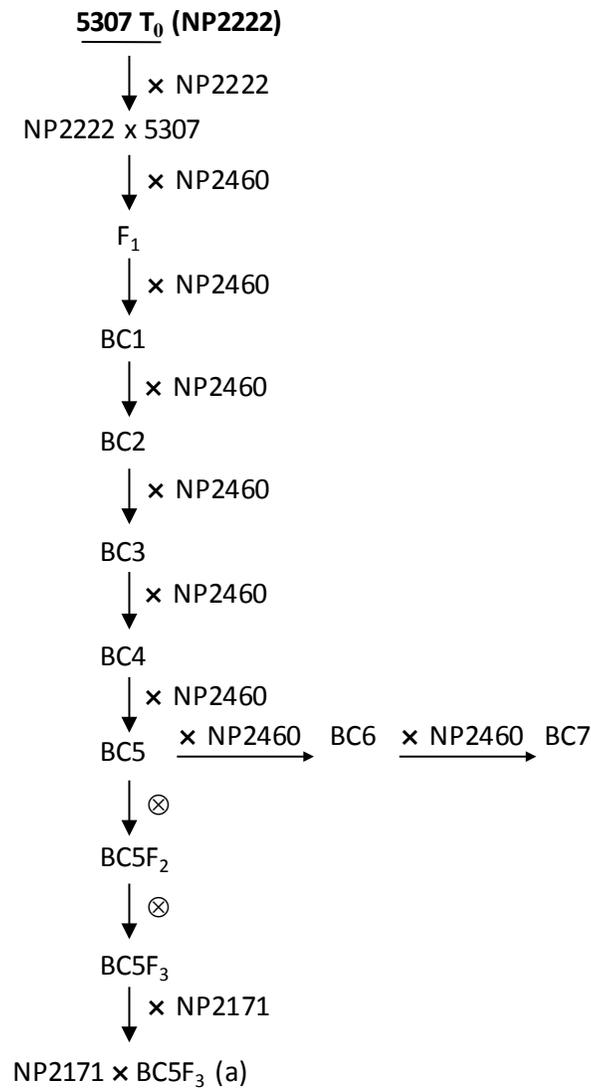
The test substances for this study were 5307 maize seed from generation NP2171 × BC5F<sub>3</sub>. The control substances were nontransgenic maize seed (NP2171/NP2460), near-isogenic to 5307 NP2171 × BC5F<sub>3</sub>. For Southern blot analyses with the ZmUbiInt promoter-specific probe, additional control substances (NP2222, NP2460, and NP2171) were included. Table 2 shows the descriptions and pedigree codes for the test and control substances. Figure 2 illustrates a pedigree chart demonstrating the production of the test substances. The reference substance for Southern blot analyses was the Analytical Marker DNA wide range molecular weight marker.

**Table 2. Test and Control Substances**

Seed identification	Pedigree
5307 NP2171 × BC5F <sub>3</sub> (test)	NP2171 /(NP2460*/NP2222/(5307)1) B>B>B>B<2>B-B(T++)-
NP2171/NP2460 (control)	NP2171/NP2460:B(#1)-
NP2222 (control)	NP2222
NP2460 (control)	NP2460
NP2171 (control)	NP2171

The test and control substances were characterized by real-time PCR analysis (Ingham *et al.* 2001) to confirm the identity and purity.

Figure 2. Pedigree history for 5307 maize indicating the generations used in the study presented in this report



(a) = Southern blot analyses  
 T<sub>0</sub> = original transformant  
 x = cross  
 BC = backcross  
 ⊗ = self-pollination

## Plant Tissue for Genomic DNA Extraction

Seed of each test and control substance was grown in a Syngenta Biotechnology, Inc. greenhouse in Research Triangle Park, North Carolina, USA. Following verification of the plants' identity by real-time PCR analysis, leaf tissue from plants grown from 5307 NP2171 × BC5F<sub>3</sub> was pooled into a sampling bag and stored at -80°C ± 10°C. This process was repeated for NP2171/NP2460, NP2222, NP2460, and NP2171 plants.

## Genomic DNA Extraction

Genomic DNA used for Southern blot analysis was isolated from the pooled leaf tissue using a modification of the method described in Saghai-Marooof *et al.* (1984).

For each test and control substance, pooled leaf tissue was ground into a fine powder using a pre-chilled mortar and pestle, with liquid nitrogen, and then placed into a bottle for storage. For each DNA extraction, approximately 40 g of this tissue and 200 ml of prewarmed CTAB buffer (100 mM Tris pH 8.0, 20 mM EDTA pH 8.0, 1.4 M NaCl, 2% CTAB (w/v), 0.2% (v/v) β-mercaptoethanol) were combined in a bottle; the sample was mixed gently and incubated for 90 minutes at 65°C ± 5°C. An equal volume of chloroform:isoamyl alcohol (24:1) was then added, followed by gentle mixing and centrifugation for 10 minutes at 7277 × g at room temperature.

The resulting aqueous phase was transferred to a clean container, and 10 µg of ribonuclease per ml of aqueous phase was added. The sample was mixed and incubated for 30 minutes at 37°C ± 2°C. An equal volume of chloroform:isoamyl alcohol (24:1) was then added, followed by gentle mixing and centrifugation for 10 minutes at 7277 × g at room temperature. The aqueous phase was collected in a clean bottle, and the DNA was precipitated with a 0.8 volume of isopropanol. The DNA was then pelleted by centrifugation at 291 × g, and washed once with 70% ethanol. The DNA pellet was air-dried and dissolved in 2.5 ml of prewarmed 0.1X TE.

## DNA Quantitation

The concentration of DNA was measured using a Quant-iT™ PicoGreen® dsDNA kit. A two-point standard curve was generated using a Lambda DNA standard. Genomic DNA was quantified by interpolation from the two point standard curve using the TBS-380 Mini-Fluorometer.

## Southern Blot Analyses

Southern blot analyses were performed using standard molecular biology techniques (Chomczynski 1992). Each lane contained 7.5 µg of genomic DNA that was digested with the appropriate restriction enzyme(s) for 8 to 16 hours.

A positive control, representing one copy of a fragment of known size in the maize genome, was included on each Southern blot. The positive control for these Southern blot analyses was digested DNA from plasmid pSYN12274. This positive control was loaded in a well together with 7.5 µg of digested DNA from NP2171/NP2460 plants, so

that the migration of this positive control reflected, more accurately, the migration of the restriction fragment in the maize genome.

The amount of positive control (picograms for one copy) was calculated by the following formula with a maize genome size of  $2.67 \times 10^9$  bp (Arumuganathan and Earle, 1991).

$$\left\{ \left( \frac{\text{Positive control size(bp)}}{\text{Genome size(bp)} * \text{Ploidy}} \right) * \mu\text{g loaded} \right\} * 1 \times 10^6 = \text{pg for 1 copy}$$

The following factors were used to calculate the amounts of positive control:

maize genome size (bp)	$2.67 \times 10^9$
maize ploidy	2
DNA loaded in each lane ( $\mu\text{g}$ )	7.5
Plasmid pSYN12274 size (bp)	11,769

The following amount of positive control was calculated:

Plasmid pSYN12274 (pg)	16.53
------------------------	-------

The molecular weight marker (serving as the reference substance), the digested genomic DNA, and the positive control were loaded onto 1% SeaKem® Gold agarose gels, and the DNA fragments were then separated by electrophoresis in 1X TAE buffer.

Following a 10 minute depurination in 0.25 N HCl, the DNA in the gel was denatured in 0.5 M NaOH and 1.5 M NaCl for 30 minutes. The DNA was then transferred to a Zeta-Probe GT membrane, by downward alkaline transfer, for 90 minutes using a Bio-Rad Appligene Vacuum Blotter™. After rinsing the membrane briefly in 2X SSC, the DNA was cross-linked to the membrane using ultraviolet light.

All PCR-generated probes (the element-specific probes and the plasmid pSYN12274 backbone-specific probe) and the molecular weight marker-specific probe were labeled with phosphorus-32-deoxycytidine triphosphate ( $[\alpha\text{-}^{32}\text{P}]\text{-dCTP}$ ) by random priming using the Megaprime™ DNA labeling system. Unincorporated label ( $[\alpha\text{-}^{32}\text{P}]\text{-dCTP}$ ) was removed using the Micro Bio-Spin® Chromatography Columns.

Membranes were incubated in 30 ml of PerfectHyb™ Plus Hybridization Buffer (which contained 100  $\mu\text{g/ml}$  denatured Calf Thymus DNA) for at least 30 minutes at  $65^\circ\text{C} \pm 5^\circ\text{C}$ . Both the molecular weight marker-specific probe and either the element-specific probe or the backbone-specific probe were added to the hybridization solution, and the membranes were incubated for 16 hours at  $65^\circ\text{C} \pm 5^\circ\text{C}$ . Incubation was followed by a combination of washes at  $65^\circ\text{C} \pm 5^\circ\text{C}$  in 2X SSC with 0.1% SDS and washes at  $65^\circ\text{C} \pm 5^\circ\text{C}$  in 0.1X SSC with 0.1% SDS. Finally, the membranes were subjected to imaging using a Molecular Dynamics Storm 860® phosphorimager.

## Copy Number Functional Element Analysis Using Element-specific Probes

The copy number of each functional element was determined by Southern blot analyses, using five element-specific probes (the *ecry3.1Ab*-specific probe, the *pmi*-specific probe, the CMP promoter-specific probe, the ZmUbiInt promoter-specific probe, and the NOS terminator-specific probe). Southern blot analyses included genomic DNA extracted from plants grown from the test substance (5307 NP2171 × BC5F<sub>3</sub>) and the control substance (NP2171/NP2460) (see breeding pedigree in Figure 2). The ZmUbiInt promoter-specific probe cross-hybridizes to genomic DNA fragments of different sizes in the different maize lines due to restriction fragment length polymorphism of the genomic DNA that carries the endogenous maize polyubiquitin promoter. For the Southern blot analyses with the ZmUbiInt promoter-specific probe, the additional control substances NP2222, NP2460, and NP2171 were needed because the 5307 maize generation analyzed was created by crossing with these maize lines.

For these experiments, genomic DNA was analyzed using two restriction enzyme digestion strategies. In the first strategy, the maize genomic DNA was digested with an enzyme that cut once within the 5307 maize insert. The other recognition sites for this enzyme were located in the maize genome flanking the 5307 maize insert. This first strategy was used twice with two different enzymes to determine the copy number of the functional element and the presence or absence of extraneous DNA fragments of the functional elements of plasmid pSYN12274 in other regions of the 5307 maize genome. For Southern blot analyses with the *ecry3.1Ab*-specific probe, enzymes *KpnI* and *NcoI* were used; for Southern blot analyses with the *pmi*-specific probe, enzymes *BstEII* and *SpeI* were used; for Southern blot analyses with the CMP promoter-specific probe, enzymes *KpnI* and *SpeI* were used; for Southern blot analyses with the ZmUbiInt promoter-specific probe, enzymes *BstEII* and *SpeI* were used; and for Southern blot analyses with the NOS terminator-specific probe, enzymes *KpnI* and *NcoI* were used. For Southern blot analyses with the *ecry3.1Ab*-specific probe, the *pmi*-specific probe, the CMP promoter-specific probe, and the ZmUbiInt promoter-specific probe, these digests were expected to result in only one hybridization band specific to the corresponding functional element. More than one band with either digest would have indicated that there were multiple copies of the corresponding functional element in the plant genome. For Southern blot analyses with the NOS terminator-specific probe, the digests used were expected to result in two hybridization bands corresponding to the two NOS terminator sequences in the 5307 maize insert (the NOS terminator sequence regulating *ecry3.1Ab* and the NOS terminator sequence regulating *pmi*). More than two bands with either digest would have indicated additional copies of the NOS terminator sequence in the plant genome.

In the second strategy, the maize genomic DNA was digested with two enzymes, which released a DNA fragment of predictable size. This strategy was used to determine the presence or absence of any closely linked extraneous DNA fragments of the functional elements in plasmid pSYN12274. For this strategy, enzymes *SmaI* + *PmeI* were used for all Southern blot analyses with element-specific probes.

## Copy Number Functional Element Analysis Using a Plasmid Backbone-specific Probe

The absence of plasmid backbone sequence in 5307 maize was assessed by Southern blot analyses using plasmid pSYN12274 backbone sequence as a probe on Southern blots of DNA subjected to the restriction enzyme digestion strategies described above (*BstEII*, *SpeI*, and *SmaI* + *PmeI*). This plasmid backbone-specific probe contained every base of the plasmid pSYN12274 backbone present outside of the T-DNA region. With both restriction enzyme digestion strategies, no hybridization bands were expected.

### Statistical Analysis

No statistical analysis was used during this study.

## RESULTS

### Copy Number of Functional Elements: *ecry3.1Ab*-specific Probe

Figure 3 shows a map of the T-DNA of 5307 maize transformation plasmid pSYN12274, indicating the location of the *ecry3.1Ab*-specific probe and restriction sites for *KpnI*, *NcoI*, *SmaI*, and *PmeI*. Figure 4 depicts the results of the corresponding Southern blot analyses, and Table 3 outlines the expected and observed sizes of the hybridization bands.

For Southern blot analysis with genomic DNA digested with *KpnI* and probed with the *ecry3.1Ab*-specific probe, one hybridization band of approximately 8.5 kb was observed in the lane containing DNA extracted from 5307 NP2171 × BC5F<sub>3</sub> plants (Figure 4A, Lane 3) (Table 3) as previously reported (de Framond 2009). This hybridization band was absent in the lane containing DNA extracted from the control substance plants (Figure 4A, Lane 4) and was therefore, specific to the 5307 maize insert. One hybridization band of approximately 6.3 kb was observed in the lane containing the positive control (16.53 pg of plasmid pSYN12274 DNA digested with *SmaI* + *PmeI* and loaded with DNA extracted from NP2171/NP2460 plants) (Figure 4A, Lane 5).

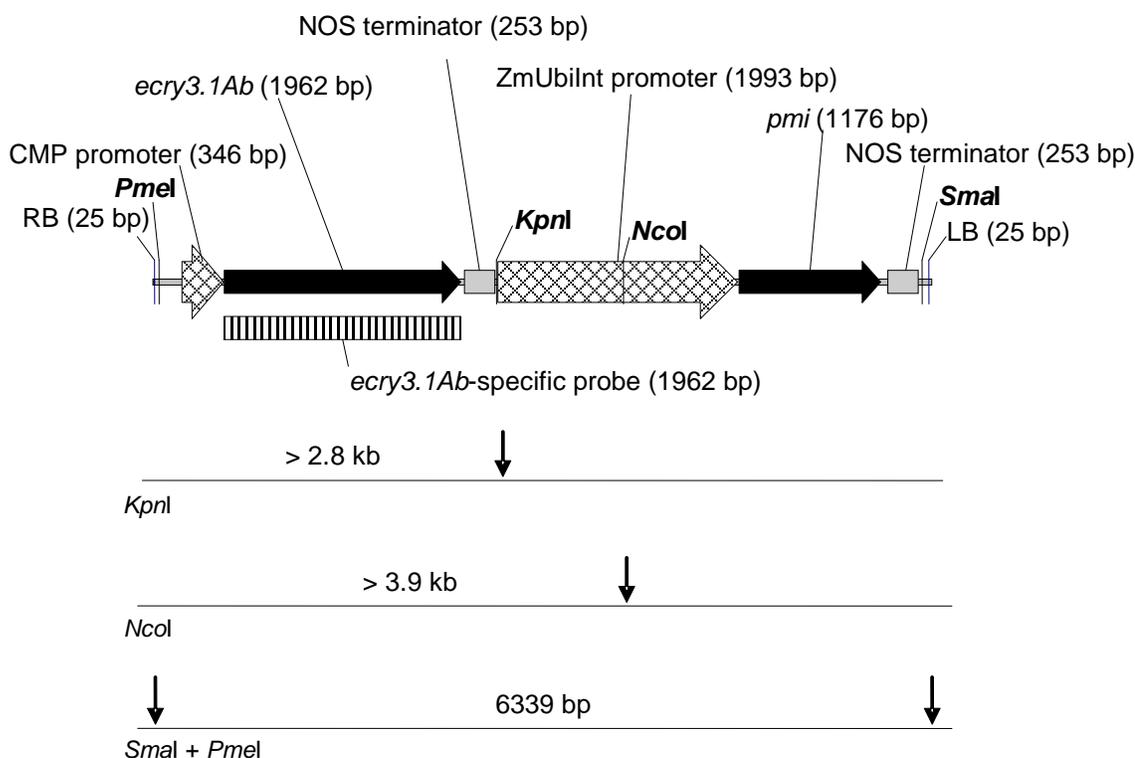
For Southern blot analysis with genomic DNA digested with *NcoI* and probed with the *ecry3.1Ab*-specific probe, one hybridization band of approximately 19 kb was observed in the lane containing DNA extracted from 5307 NP2171 × BC5F<sub>3</sub> plants (Figure 4B, Lane 3) (Table 3) as previously reported (de Framond 2009). This hybridization band was absent in the lane containing DNA extracted from the control substance plants (Figure 4B, Lane 4) and was therefore, specific to the 5307 maize insert. One hybridization band of approximately 3.9 kb was observed in the lane containing the positive control (16.53 pg of plasmid pSYN12274 DNA digested with *SmaI* + *PmeI* + *NcoI* and loaded with DNA extracted from NP2171/NP2460 plants) (Figure 4B, Lane 5).

For Southern blot analysis with genomic DNA digested with *SmaI* + *PmeI* and probed with the *ecry3.1Ab*-specific probe, one hybridization band of approximately 6.3 kb was observed in the lane containing DNA extracted from 5307 NP2171 × BC5F<sub>3</sub> plants (Figure 4C, Lane 3) (Table 3) as previously reported (de Framond 2009). This hybridization band was absent in the lane containing DNA extracted from the control substance plants (Figure 4C, Lane 4) and was therefore, specific to the 5307 maize insert.

One hybridization band of approximately 6.3 kb was observed in the lane containing the positive control (16.53 pg of plasmid pSYN12274 DNA digested with *Sma*I + *Pme*I and loaded with DNA extracted from NP2171/NP2460 plants) (Figure 4C, Lane 5).

For Southern blot analyses with the *ecry3.1Ab*-specific probe, detection of only one hybridization band of the expected size for each restriction enzyme digestion strategy demonstrated that 5307 maize contains a single copy of *ecry3.1Ab*. No unexpected bands were detected, indicating that there were no extraneous DNA fragments of *ecry3.1Ab* in the 5307 maize genome.

**Figure 3. Location of the *Kpn*I, *Nco*I, *Sma*I, and *Pme*I restriction sites and position of the 1962 bp *ecry3.1Ab*-specific probe in the T-DNA region of the transformation plasmid pSYN12274**



The vertical arrows indicate the site of restriction digestion. Sizes of the expected restriction fragments are indicated.

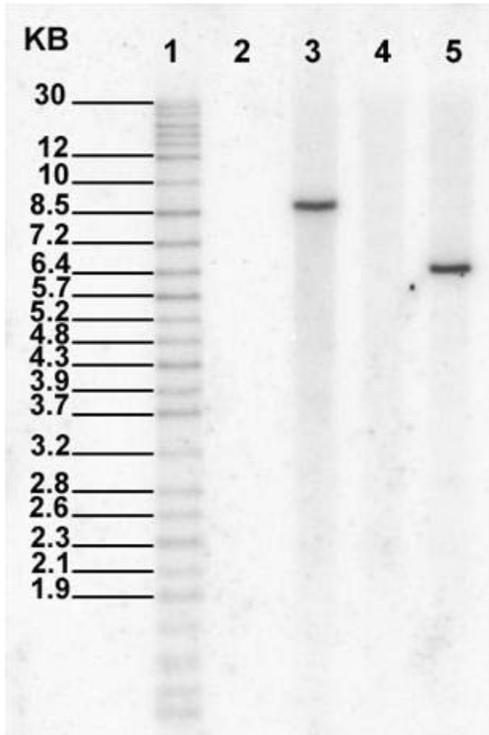
**Table 3. Expected and observed hybridization band sizes in Southern blot analysis of 5307 maize, using an *ecry3.1Ab*-specific probe and restriction enzymes *KpnI*, *NcoI*, and *SmaI* + *PmeI***

Lane	Source of DNA	Restriction enzyme	Expected number of bands	Expected band size (kb)	Observed band size (kb)
Figure 4A, 3	5307 NP2171 × BC5F <sub>3</sub>	<i>KpnI</i>	1	>2.8	~8.5
Figure 4A, 4	NP2171/NP2460	<i>KpnI</i>	none	none	none
Figure 4A, 5	Positive control (16.53 pg of pSYN12274 mixed with NP2171/NP2460 digested with <i>KpnI</i> )	<i>SmaI</i> + <i>PmeI</i>	1	~6.3	~6.3
Figure 4B, 3	5307 NP2171 × BC5F <sub>3</sub>	<i>NcoI</i>	1	>3.9	~19
Figure 4B, 4	NP2171/NP2460	<i>NcoI</i>	none	none	none
Figure 4B, 5	Positive control (16.53 pg of pSYN12274 mixed with NP2171/NP2460 digested with <i>NcoI</i> )	<i>SmaI</i> + <i>PmeI</i> + <i>NcoI</i> <sup>1</sup>	1	~3.9	~3.9
Figure 4C, 3	5307 NP2171 × BC5F <sub>3</sub>	<i>SmaI</i> + <i>PmeI</i>	1	~6.3	~6.3
Figure 4C, 4	NP2171/NP2460	<i>SmaI</i> + <i>PmeI</i>	none	none	none
Figure 4C, 5	Positive control (16.53 pg of pSYN12274 mixed with NP2171/NP2460 digested with <i>SmaI</i> + <i>PmeI</i> )	<i>SmaI</i> + <i>PmeI</i>	1	~6.3	~6.3

<sup>1</sup> Digestion of pSYN12274 with *NcoI* was the result of addition to NP2171/NP2460 digested with *NcoI*

Figure 4. Copy number of functional elements Southern blot analysis of 5307 maize with the 1962 bp *ecry3.1Ab*-specific probe, using restriction enzymes *KpnI*, *NcoI*, and *SmaI* + *PmeI*

(A) *KpnI*



Lane A1 = molecular weight markers

Lane A2 = blank

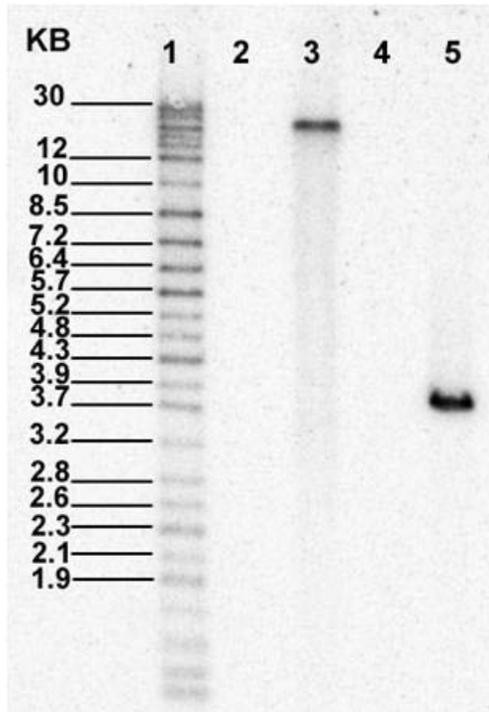
Lane A3 = 5307 NP2171 × BC5F<sub>3</sub> digested with *KpnI*

Lane A4 = NP2171/NP2460 digested with *KpnI*

Lane A5 = Positive control (NP2171/NP2460 digested with *KpnI* and 16.53 pg of pSYN12274 digested with *SmaI* + *PmeI*)

Figure 4. Copy number of functional elements Southern blot analysis of 5307 maize with the 1962 bp *ecry3.1Ab*-specific probe, using restriction enzymes *KpnI*, *NcoI*, and *SmaI* + *PmeI* (Continued)

(B) *NcoI*



Lane B1 = molecular weight markers

Lane B2 = blank

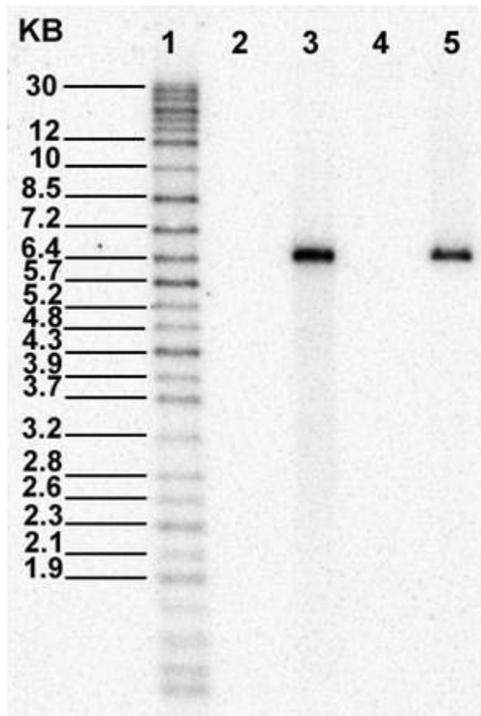
Lane B3 = 5307 NP2171 × BC5F<sub>3</sub> digested with *NcoI*

Lane B4 = NP2171/NP2460 digested with *NcoI*

Lane B5 = Positive control (NP2171/NP2460 digested with *NcoI* and 16.53 pg of pSYN12274 digested with *SmaI* + *PmeI* + *NcoI*)

Figure 4. Copy number of functional elements Southern blot analysis of 5307 maize with the 1962 bp *ecry3.1Ab*-specific probe, using restriction enzymes *KpnI*, *NcoI*, and *SmaI* + *PmeI* (Continued)

(C) *SmaI* + *PmeI*



Lane C1 = molecular weight markers

Lane C2 = blank

Lane C3 = 5307 NP2171 × BC5F<sub>3</sub> digested with *SmaI* + *PmeI*

Lane C4 = NP2171/NP2460 digested with *SmaI* + *PmeI*

Lane C5 = Positive control (NP2171/NP2460 digested with *SmaI* + *PmeI* and 16.53 pg of pSYN12274 digested with *SmaI* + *PmeI*)

**Copy Number of Functional Elements: *pmi*-specific Probe**

Figure 5 shows a map of the T-DNA of 5307 maize transformation plasmid pSYN12274, indicating the location of the *pmi*-specific probe and restriction sites for *BstEII*, *SpeI*, *SmaI*, and *PmeI*. Figure 6 depicts the results of the corresponding Southern blot analyses, and Table 4 outlines the expected and observed sizes of the hybridization bands.

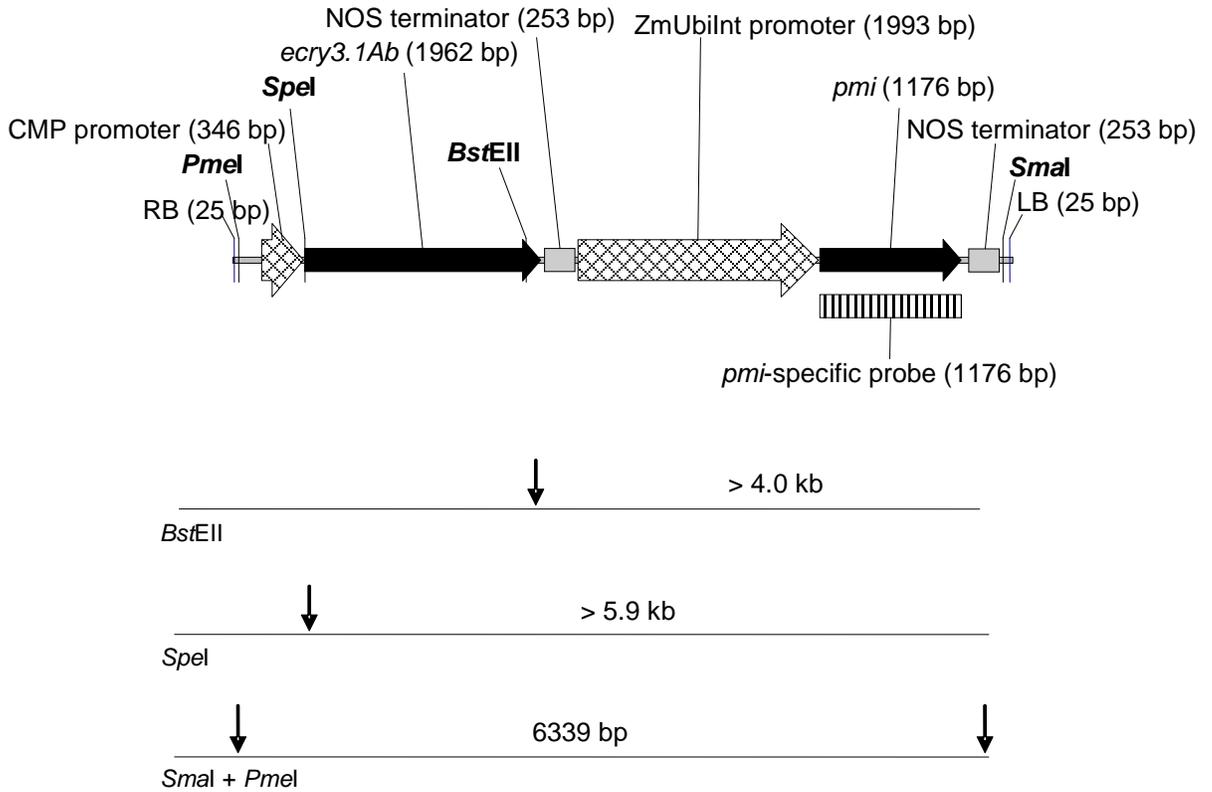
For Southern blot analysis with genomic DNA digested with *BstEII* and probed with the *pmi*-specific probe, one hybridization band of approximately 7.2 kb was observed in the lane containing DNA extracted from 5307 NP2171 × BC5F<sub>3</sub> plants (Figure 6, Lane 3) (Table 4) as previously reported (de Framond 2009). This hybridization band was absent in the lane containing DNA extracted from the control substance plants (Figure 6, Lane 4) and was therefore, specific to the 5307 maize insert.

For Southern blot analysis with genomic DNA digested with *SpeI* and probed with the *pmi*-specific probe, one hybridization band of approximately 7.0 kb was observed in the lane containing DNA extracted from 5307 NP2171 × BC5F<sub>3</sub> plants (Figure 6, Lane 5) (Table 4) as previously reported (de Framond 2009). This hybridization band was absent in the lane containing DNA extracted from the control substance plants (Figure 6, Lane 6) and was therefore, specific to the 5307 maize insert.

For Southern blot analysis with genomic DNA digested with *SmaI* + *PmeI* and probed with the *pmi*-specific probe, one hybridization band of approximately 6.3 kb was observed in the lane containing DNA extracted from 5307 NP2171 × BC5F<sub>3</sub> plants (Figure 6, Lane 7) (Table 4) as previously reported (de Framond 2009). This hybridization band was absent in the lane containing DNA extracted from the control substance plants (Figure 6, Lane 8) and was therefore, specific to the 5307 maize insert. One hybridization band of approximately 6.3 kb was observed in the lane containing the positive control (16.53 pg of plasmid pSYN12274 DNA digested with *SmaI* + *PmeI* and loaded with DNA extracted from NP2171/NP2460 plants) (Figure 6, Lane 9).

For Southern blot analyses with the *pmi*-specific probe, detection of only one hybridization band of the expected size for each restriction enzyme digestion strategy demonstrated that 5307 maize contains a single copy of *pmi*. No unexpected bands were detected, indicating that there were no extraneous DNA fragments of *pmi* in the 5307 maize genome.

**Figure 5. Location of the *BstEII*, *SpeI*, *SmaI*, and *PmeI* restriction sites and position of the 1176 bp *pmi*-specific probe in the T-DNA region of the transformation plasmid pSYN12274**

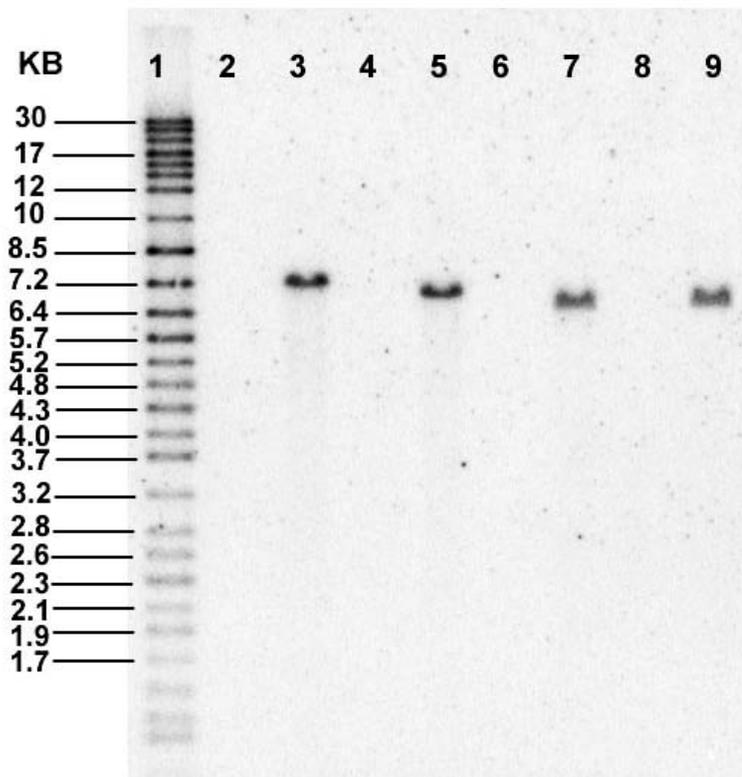


The vertical arrows indicate the site of restriction digestion. Sizes of the expected restriction fragments are indicated.

**Table 4. Expected and observed hybridization band sizes in Southern blot analysis of 5307 maize, using a *pmi*-specific probe and restriction enzymes *Bst*EI, *Spe*I, and *Sma*I + *Pme*I**

Lane	Source of DNA	Restriction enzyme	Expected number of bands	Expected band size (kb)	Observed band size (kb)
Figure 6, 3	5307 NP2171 × BC5F <sub>3</sub>	<i>Bst</i> EI	1	> 4.0 kb	~ 7.2 kb
Figure 6, 4	NP2171/NP2460	<i>Bst</i> EI	none	none	none
Figure 6, 5	5307 NP2171 × BC5F <sub>3</sub>	<i>Spe</i> I	1	> 5.9 kb	~ 7.0 kb
Figure 6, 6	NP2171/NP2460	<i>Spe</i> I	none	none	none
Figure 6, 7	5307 NP2171 × BC5F <sub>3</sub>	<i>Sma</i> I + <i>Pme</i> I	1	~6.3 kb	~6.3 kb
Figure 6, 8	NP2171/NP2460	<i>Sma</i> I + <i>Pme</i> I	none	none	none
Figure 6, 9	Positive control (16.53 pg of pSYN12274 mixed with NP2171/NP2460 digested with <i>Sma</i> I + <i>Pme</i> I)	<i>Sma</i> I + <i>Pme</i> I	1	~6.3 kb	~6.3 kb

Figure 6. Copy number of functional elements Southern blot analysis of 5307 maize with the 1176 bp *pmi*-specific probe, using restriction enzymes *Bst*EII, *Spe*I, and *Sma*I + *Pme*I



Lane 1 = molecular weight markers

Lane 2 = blank

Lane 3 = 5307 NP2171 × BC5F<sub>3</sub> digested with *Bst*EII

Lane 4 = NP2171/NP2460 digested with *Bst*EII

Lane 5 = 5307 NP2171 × BC5F<sub>3</sub> digested with *Spe*I

Lane 6 = NP2171/NP2460 digested with *Spe*I

Lane 7 = 5307 NP2171 × BC5F<sub>3</sub> digested with *Sma*I + *Pme*I

Lane 8 = NP2171/NP2460 digested with *Sma*I + *Pme*I

Lane 9 = Positive control (NP2171/NP2460 digested with *Sma*I + *Pme*I and 16.53 pg of pSYN12274 digested with *Sma*I + *Pme*I)

**Copy Number of Functional Elements: CMP promoter-specific Probe**

Figure 7 shows a map of the T-DNA of 5307 maize transformation plasmid pSYN12274, indicating the location of the CMP promoter-specific probe and restriction sites for *KpnI*, *SpeI*, *SmaI*, and *PmeI*. Figure 8 depicts the results of the corresponding Southern blot analyses, and Table 5 outlines the expected and observed sizes of the hybridization bands.

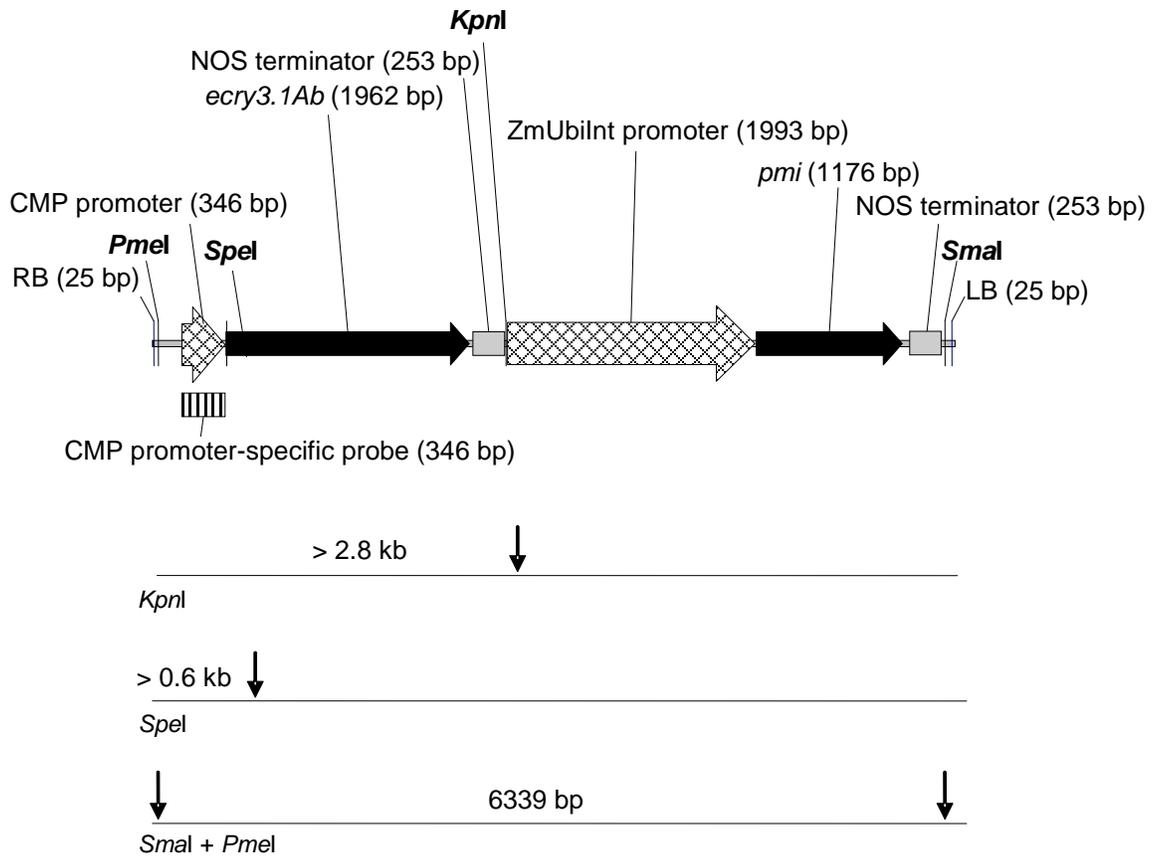
For Southern blot analysis with genomic DNA digested with *KpnI* and probed with the CMP promoter-specific probe, one hybridization band of approximately 8.5 kb was observed in the lane containing DNA extracted from 5307 NP2171 × BC5F<sub>3</sub> plants as expected (Figure 8A, Lane 3) (Table 5). This hybridization band was absent in the lane containing DNA extracted from the control substance plants (Figure 8A, Lane 4) and was therefore, specific to the 5307 maize insert. One hybridization band of approximately 6.3 kb was observed in the lane containing the positive control (16.53 pg of plasmid pSYN12274 DNA digested with *SmaI* + *PmeI* and loaded with DNA extracted from NP2171/NP2460 plants) (Figure 8A, Lane 5).

For Southern blot analysis with genomic DNA digested with *SpeI* and probed with the CMP promoter-specific probe, one hybridization band of approximately 2.6 kb was observed in the lane containing DNA extracted from 5307 NP2171 × BC5F<sub>3</sub> plants as expected (Figure 8B, Lane 3) (Table 5). This hybridization band was absent in the lane containing DNA extracted from the control substance plants (Figure 8B, Lane 4) and was therefore, specific to the 5307 maize insert. One hybridization band of approximately 6.3 kb was observed in the lane containing the positive control (16.53 pg of plasmid pSYN12274 DNA digested with *SmaI* + *PmeI* and loaded with DNA extracted from NP2171/NP2460 plants) (Figure 8B, Lane 5).

For Southern blot analysis with genomic DNA digested with *SmaI* + *PmeI* and probed with the CMP promoter-specific probe, one hybridization band of approximately 6.3 kb was observed in the lane containing DNA extracted from 5307 NP2171 × BC5F<sub>3</sub> plants as expected (Figure 8C, Lane 3) (Table 5). This hybridization band was absent in the lane containing DNA extracted from the control substance plants (Figure 8C, Lane 4) and was therefore, specific to the 5307 maize insert. One hybridization band of approximately 6.3 kb was observed in the lane containing the positive control (16.53 pg of plasmid pSYN12274 DNA digested with *SmaI* + *PmeI* and loaded with DNA extracted from NP2171/NP2460 plants) (Figure 8C, Lane 5).

For Southern blot analyses with the CMP promoter-specific probe, detection of only one hybridization band of the expected size for each restriction enzyme digestion strategy demonstrated that 5307 maize contains a single copy of the CMP promoter sequence. No unexpected bands were detected, indicating that there were no extraneous DNA fragments of the CMP promoter sequence in the 5307 maize genome.

Figure 7. Location of the *KpnI*, *SpeI*, *SmaI*, and *PmeI* restriction sites and position of the 346 bp CMP promoter-specific probe in the T-DNA region of the transformation plasmid pSYN12274



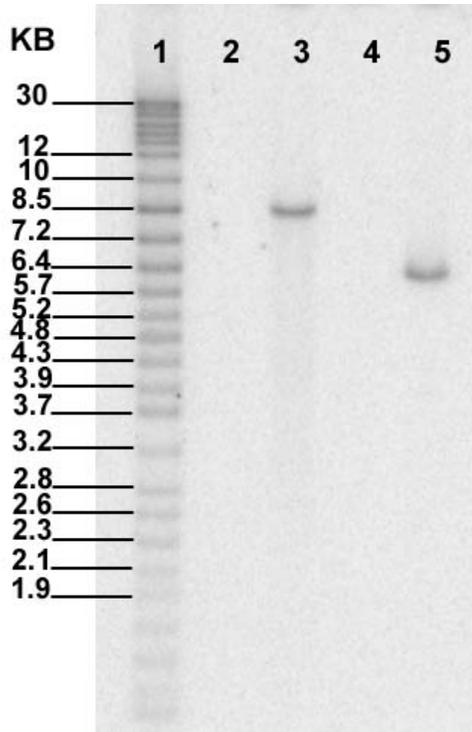
The vertical arrows indicate the site of restriction digestion. Sizes of the expected restriction fragments are indicated.

**Table 5. Expected and observed hybridization band sizes in Southern blot analysis of 5307 maize, using a CMP promoter-specific probe and restriction enzymes *KpnI*, *SpeI*, and *SmaI* + *PmeI***

Lane	Source of DNA	Restriction enzyme	Expected number of bands	Expected band size (kb)	Observed band size (kb)
Figure 8A, 3	5307 NP2171 × BC5F <sub>3</sub>	<i>KpnI</i>	1	>2.8	~8.5
Figure 8A, 4	NP2171/NP2460	<i>KpnI</i>	none	none	None
Figure 8A, 5	Positive control (16.53 pg of pSYN12274 mixed with NP2171/NP2460 digested with <i>KpnI</i> )	<i>SmaI</i> + <i>PmeI</i>	1	~6.3	~6.3
Figure 8B, 3	5307 NP2171 × BC5F <sub>3</sub>	<i>SpeI</i>	1	>0.6	~2.6
Figure 8B, 4	NP2171/NP2460	<i>SpeI</i>	none	none	none
Figure 8B, 5	Positive control (16.53 pg of pSYN12274 mixed with NP2171/NP2460 digested with <i>SpeI</i> )	<i>SmaI</i> + <i>PmeI</i>	1	~6.3	~6.3
Figure 8C, 3	5307 NP2171 × BC5F <sub>3</sub>	<i>SmaI</i> + <i>PmeI</i>	1	~6.3	~6.3
Figure 8C, 4	NP2171/NP2460	<i>SmaI</i> + <i>PmeI</i>	none	none	none
Figure 8C, 5	Positive control (16.53 pg of pSYN12274 mixed with NP2171/NP2460 digested with <i>SmaI</i> + <i>PmeI</i> )	<i>SmaI</i> + <i>PmeI</i>	1	~6.3	~6.3

**Figure 8. Copy number of functional elements Southern blot analysis of 5307 maize with the 346 bp CMP promoter-specific probe, using restriction enzymes *KpnI*, *SpeI*, and *SmaI* + *PmeI***

(A) *KpnI*



Lane A1 = molecular weight markers

Lane A2 = blank

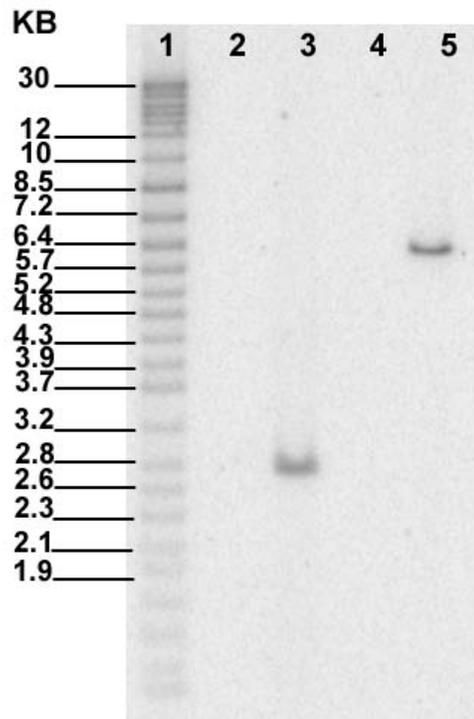
Lane A3 = 5307 NP2171 × BC5F<sub>3</sub> digested with *KpnI*

Lane A4 = NP2171/NP2460 digested with *KpnI*

Lane A5 = Positive control (NP2171/NP2460 digested with *KpnI* and 16.53 pg of pSYN12274 digested with *SmaI* + *PmeI*)

**Figure 8. Copy number of functional element Southern blot analysis of 5307 maize with the 346 bp CMP promoter-specific probe, using restriction enzymes *KpnI*, *SpeI*, and *SmaI* + *PmeI* (Continued)**

**(B) *SpeI***



Lane B1 = molecular weight markers

Lane B2 = blank

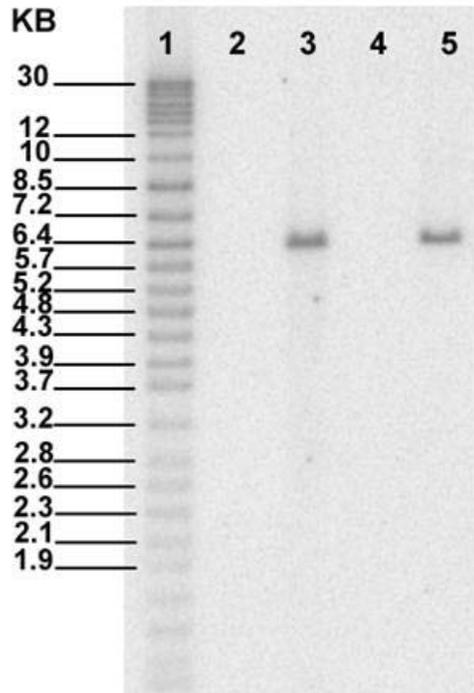
Lane B3 = 5307 NP2171 × BC5F<sub>3</sub> digested with *SpeI*

Lane B4 = NP2171/NP2460 digested with *SpeI*

Lane B5 = Positive control (NP2171/NP2460 digested with *SpeI* and 16.53 pg of pSYN12274 digested with *SmaI* + *PmeI*)

**Figure 8. Copy number of functional element Southern blot analysis of 5307 maize with the 346 bp CMP promoter-specific probe, using restriction enzymes *KpnI*, *SpeI*, and *SmaI* + *PmeI* (Continued)**

**(C) *SmaI* + *PmeI***



Lane C1 = molecular weight markers

Lane C2 = blank

Lane C3 = 5307 NP2171 × BC5F<sub>3</sub> digested with *SmaI* + *PmeI*

Lane C4 = NP2171/NP2460 digested with *SmaI* + *PmeI*

Lane C5 = Positive control (NP2171/NP2460 digested with *SmaI* + *PmeI* and 16.53 pg of pSYN12274 digested with *SmaI* + *PmeI*)

**Copy Number of Functional Elements: ZmUbiInt promoter-specific Probe**

Figure 9 shows a map of the T-DNA of 5307 maize transformation plasmid pSYN12274, indicating the location of the ZmUbiInt promoter-specific probe and restriction sites for *BstEII*, *SpeI*, *SmaI*, and *PmeI*. Figure 10 depicts the results of the corresponding Southern blot analyses, and Table 6 outlines the expected and observed sizes of the hybridization bands.

For Southern blot analysis with genomic DNA digested with *BstEII* and probed with the ZmUbiInt promoter-specific probe, a hybridization band of approximately 7.2 kb was observed in the lane containing DNA extracted from 5307 NP2171 × BC5F<sub>3</sub> plants as expected (Figure 10A, Lane 3) (Table 6). This hybridization band was absent in the lanes containing DNA extracted from the control substance plants NP2171/NP2460 (Figure 10A, Lane 4) and additional control substances NP2171, NP2222 and NP2460 (Figure 10A, Lanes 5, 6, and 7) and was therefore, specific to the 5307 maize insert. Three hybridization bands of approximately 3.9 kb, 8.4 kb, and 18 kb were observed in the lane containing the positive control (16.53 pg of plasmid pSYN12274 DNA digested with *SmaI* + *PmeI* + *BstEII* and loaded with DNA extracted from NP2171/NP2460 plants) (Figure 10A, Lane 8).

Additional bands resulting from cross-hybridization of the ZmUbiInt promoter-specific probe with the endogenous maize polyubiquitin promoter sequence were also detected in all material analyzed. Two hybridization bands of approximately 12 kb and 18 kb corresponding to the hybridization bands observed in lanes containing DNA extracted from NP2222 plants (Figure 10A, Lane 6) and NP2171 plants (Figure 10A, Lane 5), respectively, were observed in the lane containing DNA extracted from 5307 NP2171 × BC5F<sub>3</sub> plants (Figure 10A, Lane 3). Two hybridization bands of approximately 8.4 kb and 18 kb corresponding to the hybridization bands observed in lanes containing DNA extracted from NP2460 plants (Figure 10A, Lane 7) and NP2171 plants (Figure 10A, Lane 5), respectively, were observed in the lane containing DNA extracted from NP2171/NP2460 plants (Figure 10A, Lane 4) and the lane containing the positive control (Figure 10A, Lane 8).

For Southern blot analysis with genomic DNA digested with *SpeI* and probed with the ZmUbiInt promoter-specific probe, a hybridization band of approximately 7.0 kb was observed in the lane containing DNA extracted from 5307 NP2171 × BC5F<sub>3</sub> plants as expected (Figure 10B, Lane 3) (Table 6). This hybridization band was absent in the lane containing DNA extracted from the control substance plants NP2171/NP2460 (Figure 10B, Lane 4) and additional control substances NP2171, NP2222, and NP2460 (Figure 10B, Lanes 5, 6, and 7) and was therefore, specific to the 5307 maize insert. Three hybridization bands of approximately 6.3 kb, 14 kb, and 20 kb were observed in the lane containing the positive control (16.53 pg of plasmid pSYN12274 DNA digested with *SmaI* + *PmeI* and loaded with DNA extracted from NP2171/NP2460 plants (Figure 10B, Lane 8).

Additional bands resulting from cross-hybridization of the ZmUbiInt promoter-specific probe with the endogenous maize polyubiquitin promoter sequence were also detected in all material analyzed. Two hybridization bands of approximately 25 kb and 14 kb

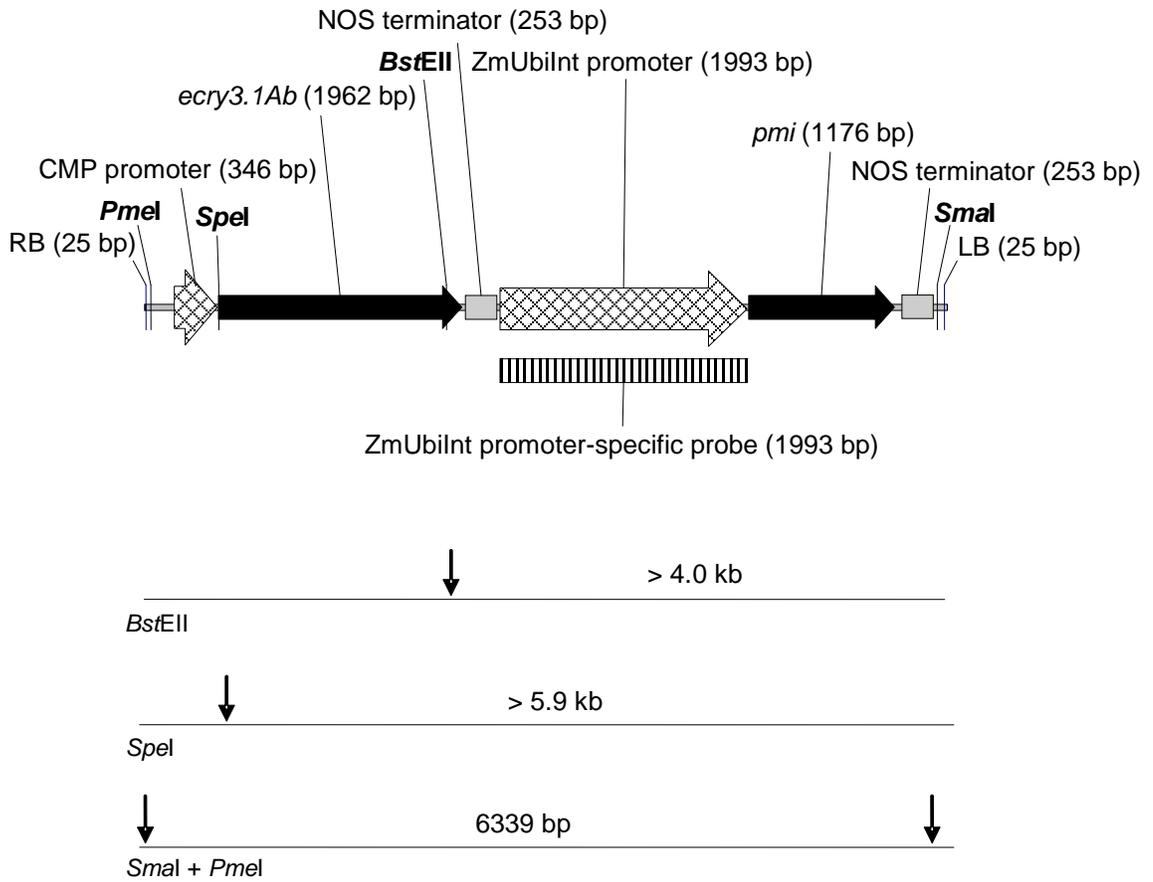
corresponding to the hybridization bands observed in lanes containing DNA extracted from NP2222 plants (Figure 10B, Lane 6) and NP2171 plants (Figure 10B, Lane 5), respectively, were observed in the lane containing DNA extracted from 5307 NP2171 × BC5F<sub>3</sub> plants (Figure 10B, Lane 3). Finally, two hybridization bands of approximately 20 kb and 14 kb corresponding to the hybridization bands observed in lanes containing DNA extracted from NP2460 plants (Figure 10B, Lane 7) and NP2171 plants (Figure 10B, Lane 5), respectively, were observed in the lane containing DNA extracted from NP2171/NP2460 plants (Figure 10B, Lane 4) and the lane containing the positive control (Figure 10B, Lane 8).

For Southern blot analysis with genomic DNA digested with *Sma*I + *Pme*I and probed with the ZmUbiInt promoter-specific probe, one hybridization band of approximately 6.3 kb was observed in the lane containing DNA extracted from 5307 NP2171 × BC5F<sub>3</sub> plants as expected (Figure 10C, Lane 3) (Table 6). This hybridization band was absent in the lane containing DNA extracted from the control substance plants NP2171/NP2460 (Figure 10C, Lane 4) and additional control substances NP2171, NP2222, and NP2460 (Figure 10C, Lanes 5, 6, and 7) and was therefore, specific to the 5307 maize insert. A hybridization band of approximately 6.3 kb and a high molecular weight band (greater than 30 kb) was observed in the lane containing the positive control (16.53 pg of plasmid pSYN12274 DNA digested with *Sma*I + *Pme*I and loaded with DNA extracted from NP2171/NP2460 plants) (Figure 10C, Lane 8).

At least one additional band resulting from cross-hybridization of the ZmUbiInt promoter-specific probe with the endogenous maize polyubiquitin promoter sequence was also detected in all material analyzed. One hybridization band of approximately 18 kb corresponding to the hybridization band observed in the lane containing DNA extracted from NP2222 plants (Figure 10C, Lane 6) was observed in the lane containing DNA extracted from 5307 NP2171 × BC5F<sub>3</sub> plants (Figure 10C, Lane 3). An additional high molecular weight band (greater than 30 kb) was observed in lanes containing DNA extracted from NP2460 plants (Figure 10C, Lane 7) and NP2171 plants (Figure 10C, Lane 5). This faint high molecular weight band (greater than 30 kb) was also observed in the lane containing DNA extracted from 5307 NP2171 × BC5F<sub>3</sub> plants (Figure 10C, Lane 3), the lane containing DNA extracted from NP2171/NP2460 plants (Figure 10C, Lane 4), and the lane containing the positive control (Figure 10C, Lane 8).

For Southern blot analyses with the ZmUbiInt promoter-specific probe, detection of only one hybridization band specific to 5307 maize for each restriction enzyme digestion enzyme demonstrated that 5307 maize contains a single copy of the ZmUbiInt promoter sequence. No unexpected bands were detected, indicating that there were no extraneous DNA fragments of the ZmUbiInt promoter sequence in the 5307 maize genome.

**Figure 9. Location of the *BstEII*, *SpeI*, *SmaI*, and *PmeI* restriction sites and position of the 1993 bp ZmUbilnt promoter-specific probe in the T-DNA region of the transformation plasmid pSYN12274**



The vertical arrows indicate the site of restriction digestion.  
 Sizes of the expected restriction fragments are indicated.

**Table 6. Expected and observed hybridization band sizes in Southern blot analysis of 5307 maize, using a ZmUbilnt promoter-specific probe and restriction enzymes *Bst*EII, *Spe*I, and *Sma*I + *Pme*I**

Lane	Source of DNA	Restriction enzyme	Expected number of bands	Expected band size (kb)	Observed band size (kb)
Figure 10A, 3	5307 NP2171 x BC5F <sub>3</sub>	<i>Bst</i> EII	1 5307 insert x endogenous	>4.0 unknown	~7.2 ~12 (endogenous) ~18 (endogenous)
Figure 10A, 4	NP2171/NP2460	<i>Bst</i> EII	x endogenous	unknown	~8.4 (endogenous) ~18 (endogenous)
Figure 10A, 5	NP2171	<i>Bst</i> EII	x endogenous	unknown	~18 (endogenous)
Figure 10A, 6	NP2222	<i>Bst</i> EII	x endogenous	unknown	~12 (endogenous)
Figure 10A, 7	NP2460	<i>Bst</i> EII	x endogenous	unknown	~8.4 (endogenous)
Figure 10A, 8	Positive control (16.53 pg of pSYN12274 mixed with NP2171/NP2460 digested with <i>Bst</i> EII)	<i>Sma</i> I + <i>Pme</i> I + <i>Bst</i> EII <sup>1</sup>	1 pSYN12274 x endogenous	~3.9 unknown	~3.9 ~8.4 (endogenous) ~18 (endogenous)
Figure 10B, 3	5307 NP2171 x BC5F <sub>3</sub>	<i>Spe</i> I	1 5307 insert x endogenous	>5.9 unknown	~7.0 ~14 (endogenous) ~25 (endogenous)
Figure 10B, 4	NP2171/NP2460	<i>Spe</i> I	x endogenous	unknown	~14 (endogenous) ~20 (endogenous)
Figure 10A, 5	NP2171	<i>Spe</i> I	x endogenous	unknown	~14 (endogenous)
Figure 10A, 6	NP2222	<i>Spe</i> I	x endogenous	unknown	~25 (endogenous)
Figure 10A, 7	NP2460	<i>Spe</i> I	x endogenous	unknown	~20 (endogenous)
Figure 10B, 8	Positive control (16.53 pg of pSYN12274 mixed with NP2171/NP2460 digested with <i>Spe</i> I)	<i>Sma</i> I + <i>Pme</i> I	1 pSYN12274 x endogenous	~6.3 unknown	~6.3 ~14 (endogenous) ~20 (endogenous)
Figure 10C, 3	5307 NP2171 x BC5F <sub>3</sub>	<i>Sma</i> I + <i>Pme</i> I	1 5307 insert x endogenous	~6.3 unknown	~6.3 ~18 (endogenous) >30 (endogenous)
Figure 10C, 4	NP2171/NP2460	<i>Sma</i> I + <i>Pme</i> I	x endogenous	unknown	>30 (endogenous)
Figure 10C, 5	NP2171	<i>Sma</i> I + <i>Pme</i> I	x endogenous	unknown	>30 (endogenous)
Figure 10C, 6	NP2222	<i>Sma</i> I + <i>Pme</i> I	x endogenous	unknown	~18 (endogenous)
Figure 10C, 7	NP2460	<i>Sma</i> I + <i>Pme</i> I	x endogenous	unknown	>30 (endogenous)

**Table 6. Expected and observed hybridization band sizes in Southern blot analysis of 5307 maize, using a ZmUbilnt promoter-specific probe and restriction enzymes *Bst*EI, *Spe*I, and *Sma*I + *Pme*I (Continued)**

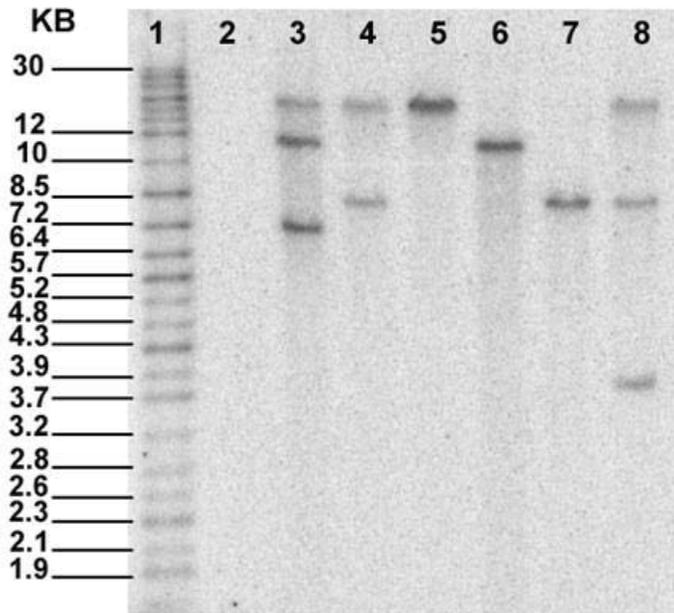
Lane	Source of DNA	Restriction enzyme	Expected number of bands	Expected band size (kb)	Observed band size (kb)
Figure 10C, 8	Positive control (16.53 pg of pSYN12274 mixed with NP2171/NP2460 digested with <i>Sma</i> I + <i>Pme</i> I)	<i>Sma</i> I + <i>Pme</i> I	1 pSYN12274 x endogenous	~6.3 unknown	~6.3 >30 (endogenous)

x = unknown

<sup>1</sup> Digestion of pSYN12274 with *Bst*EI was the result of addition to NP2171/NP2460 digested with *Bst*EI

**Figure 10. Copy number of functional elements Southern blot analysis of 5307 maize with the 1993 bp ZmUbilint promoter-specific probe, using restriction enzymes *Bst*EII, *Spe*I, and *Sma*I + *Pme*I**

**(A) *Bst*EII**



Lane A1 = molecular weight markers

Lane A2 = blank

Lane A3 = 5307 NP2171 × BC5F<sub>3</sub> digested with *Bst*EII

Lane A4 = NP2171/NP2460 digested with *Bst*EII

Lane A5 = NP2171 digested with *Bst*EII

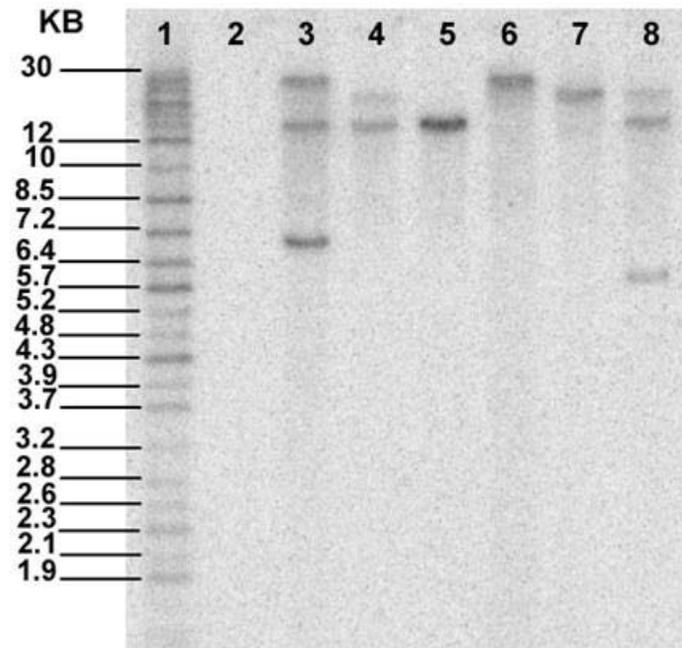
Lane A6 = NP2222 digested with *Bst*EII

Lane A7 = NP2460 digested with *Bst*EII

Lane A8 = Positive control (NP2171/NP2460 digested with *Bst*EII and 16.53 pg of pSYN12274 digested with *Sma*I + *Pme*I + *Bst*EII)

**Figure 10. Copy number of functional elements Southern blot analysis of 5307 maize with the 1993 bp ZmUbilnt promoter-specific probe, using restriction enzymes *Bst*EI, *Spe*I, and *Sma*I + *Pme*I (Continued)**

**(B) *Spe*I**



Lane B1 = molecular weight markers

Lane B2 = blank

Lane B3 = 5307 NP2171 × BC5F<sub>3</sub> digested with *Spe*I

Lane B4 = NP2171/NP2460 digested with *Spe*I

Lane B5 = NP2171 digested with *Spe*I

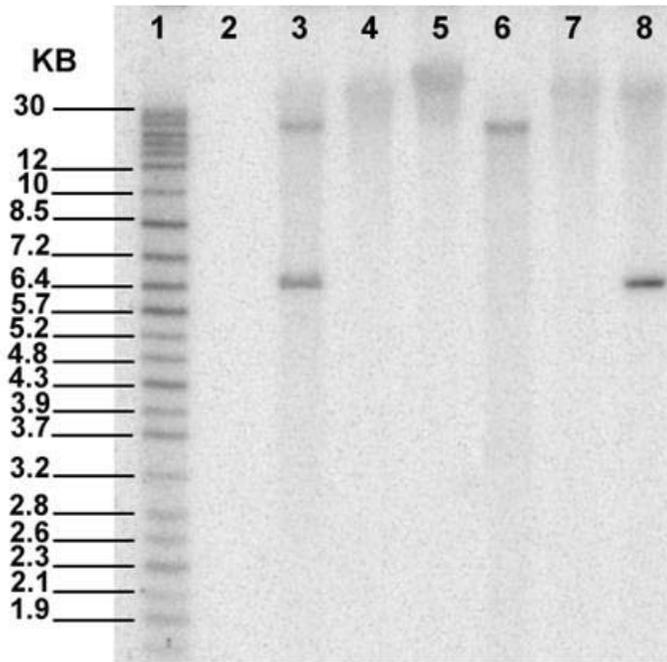
Lane B6 = NP2222 digested with *Spe*I

Lane B7 = NP2460 digested with *Spe*I

Lane B8 = Positive control (NP2171/NP2460 digested with *Spe*I and 16.53 pg of pSYN12274 digested with *Sma*I + *Pme*I)

**Figure 10. Copy number of functional elements Southern blot analysis of 5307 maize with the 1993 bp ZmUbilnt promoter-specific probe, using restriction enzymes *Bst*EI, *Spe*I, and *Sma*I + *Pme*I (Continued)**

**(C) *Sma*I + *Pme*I**



Lane C1 = molecular weight markers

Lane C2 = blank

Lane C3 = 5307 NP2171 × BC5F<sub>3</sub> digested with *Sma*I + *Pme*I

Lane C4 = NP2171/NP2460 digested with *Sma*I + *Pme*I

Lane C5 = NP2171 digested with *Sma*I + *Pme*I

Lane C6 = NP2222 digested with *Sma*I + *Pme*I

Lane C7 = NP2460 digested with *Sma*I + *Pme*I

Lane C8 = Positive control (NP2171/NP2460 digested with *Sma*I + *Pme*I and 16.53 pg of pSYN12274 digested with *Sma*I + *Pme*I)

**Copy Number of Functional Elements: NOS terminator-specific Probe**

Figure 11 shows a map of the T-DNA of 5307 maize transformation plasmid pSYN12274, indicating the location of the NOS terminator-specific probe and restriction sites for *KpnI*, *NcoI*, and *SmaI* + *PmeI*. Figure 12 depicts the results of the corresponding Southern blot analyses, and Table 7 outlines the expected and observed sizes of the hybridization bands.

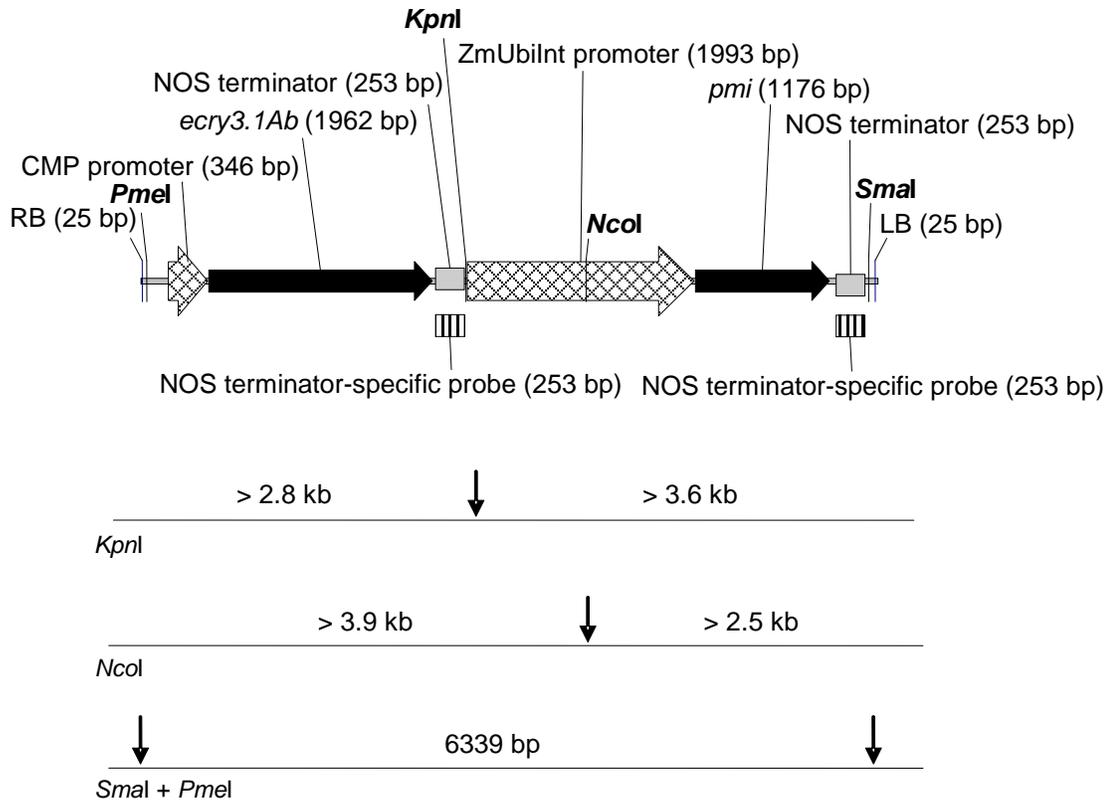
For Southern blot analysis with genomic DNA digested with *KpnI* and probed with the NOS terminator-specific probe, two hybridization bands of approximately 6.4 kb and 8.5 kb were observed in the lane containing DNA extracted from 5307 NP2171 × BC5F<sub>3</sub> plants as expected (Figure 12A, Lane 3) (Table 7). These hybridization bands were absent in the lane containing DNA extracted from the control substance plants (Figure 12A, Lanes 4) and were therefore, specific to the two copies of the NOS terminator sequence in the 5307 maize insert (one NOS terminator sequence regulating *ecry3.1Ab* and one NOS terminator sequence regulating *pmi*). One hybridization band of approximately 6.3 kb was observed in the lane containing the positive control (16.53 pg of plasmid pSYN12274 DNA digested with *SmaI* + *PmeI* and loaded with DNA extracted from NP2171/NP2460 plants) (Figure 12A, Lane 5).

For Southern blot analysis with genomic DNA digested with *NcoI* and probed with the NOS terminator-specific probe, two hybridization bands of approximately 16 kb and 19 kb were observed in the lane containing DNA extracted from 5307 NP2171 × BC5F<sub>3</sub> plants as expected (Figure 12B, Lane 3) (Table 7). These hybridization bands were absent in the lane containing DNA extracted from the control substance plants (Figure 12B, Lanes 4) and were therefore, specific to the two copies of the NOS terminator sequence in the 5307 maize insert (one NOS terminator sequence regulating *ecry3.1Ab* and one NOS terminator sequence regulating *pmi*). Two hybridization bands of approximately 2.5 kb and 3.9 kb were observed in the lane containing the positive control (16.53 pg of plasmid pSYN12274 DNA digested with *SmaI* + *PmeI* + *NcoI* and loaded with DNA extracted from NP2171/NP2460 plants) (Figure 12B, Lane 5).

For Southern blot analysis with genomic DNA digested with *SmaI* + *PmeI* and probed with the NOS terminator-specific probe, one hybridization band of approximately 6.3 kb was observed in the lane containing DNA extracted from 5307 NP2171 × BC5F<sub>3</sub> plants as expected (Figure 12C, Lane 3) (Table 7). This hybridization band was absent in the lane containing DNA extracted from the control substance plants (Figure 12C, Lanes 4) and was therefore, specific to the 5307 maize insert. One hybridization band of approximately 6.3 kb was observed in the lane containing the positive control (16.53 pg of plasmid pSYN12274 DNA digested with *SmaI* + *PmeI* and loaded with DNA extracted from NP2171/NP2460 plants) (Figure 12C, Lane 9).

For Southern blot analyses with the NOS terminator-specific probe, detection of two hybridization bands of the expected size for each restriction enzyme digestion strategy demonstrated that 5307 maize contains two copies of the NOS terminator sequence (one NOS terminator sequence regulating *ecry3.1Ab* and one NOS terminator sequence regulating *pmi*). No unexpected bands were detected, indicating that there were no extraneous DNA fragments of the NOS terminator sequence in the 5307 maize genome.

Figure 11. Location of the *KpnI*, *NcoI*, *SmaI*, and *PmeI* restriction sites and position of the 253 bp NOS terminator-specific probe in the T-DNA region of the transformation plasmid pSYN12274



The vertical arrows indicate the site of restriction digestion. Sizes of the expected restriction fragments are indicated.

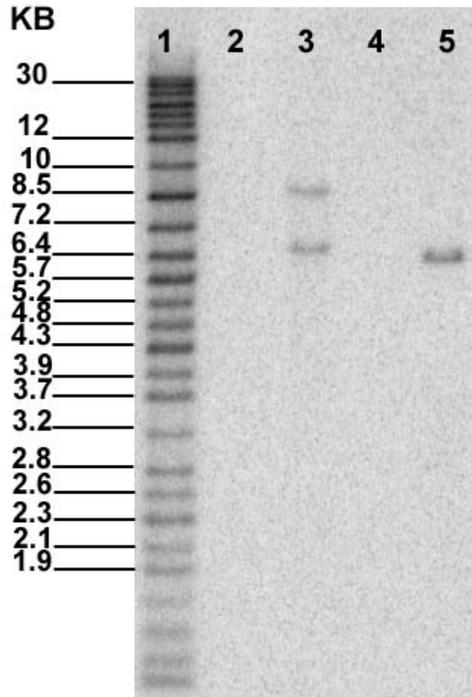
**Table 7. Expected and observed hybridization band sizes in Southern blot analysis of 5307 maize, using a NOS terminator-specific probe and restriction enzymes *KpnI*, *NcoI*, and *SmaI* + *PmeI***

Lane	Source of DNA	Restriction enzyme	Expected number of bands	Expected band size (kb)	Observed band size (kb)
Figure 12A, 3	5307 NP2171 × BC5F <sub>3</sub>	<i>KpnI</i>	2	>2.8 >3.6	~6.4 ~8.5
Figure 12A, 4	NP2171/NP2460	<i>KpnI</i>	none	none	none
Figure 12A, 5	Positive control (16.53 pg of pSYN12274 mixed with NP2171/NP2460 digested with <i>KpnI</i> )	<i>SmaI</i> + <i>PmeI</i>	1	~6.3	~6.3
Figure 12B, 3	5307 NP2171 × BC5F <sub>3</sub>	<i>NcoI</i>	2	>2.5 >3.9	~16 ~19
Figure 12B, 4	NP2171/NP2460	<i>NcoI</i>	none	none	None
Figure 12B, 5	Positive control (16.53 pg of pSYN12274 mixed with NP2171/NP2460 digested with <i>NcoI</i> )	<i>SmaI</i> + <i>PmeI</i> + <i>NcoI</i> <sup>1</sup>	2	~2.5 ~3.9	~2.5 ~3.9
Figure 12C, 3	5307 NP2171 × BC5F <sub>3</sub>	<i>SmaI</i> + <i>PmeI</i>	1	~6.3	~6.3
Figure 12C, 4	NP2171/NP2460	<i>SmaI</i> + <i>PmeI</i>	none	none	none
Figure 12C, 5	Positive control (16.53 pg of pSYN12274 mixed with NP2171/NP2460 digested with <i>SmaI</i> + <i>PmeI</i> )	<i>SmaI</i> + <i>PmeI</i>	1	~6.3	~6.3

<sup>1</sup> Digestion of pSYN12274 with *NcoI* was the result of addition to NP2171/NP2460 digested with *NcoI*

Figure 12. Copy number of functional element Southern blot analysis of 5307 maize with the 253 bp NOS terminator-specific probe, using restriction enzymes *KpnI*, *NcoI*, and *SmaI* + *PmeI*

(A) *KpnI*



Lane A1 = molecular weight markers

Lane A2 = blank

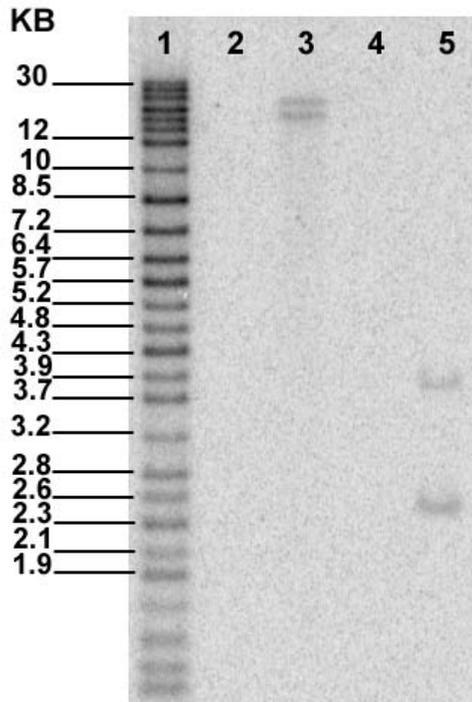
Lane A3 = 5307 NP2171 × BC5F<sub>3</sub> digested with *KpnI*

Lane A4 = NP2171/NP2460 digested with *KpnI*

Lane A5 = Positive control (NP2171/NP2460 digested with *KpnI* and 16.53 pg of pSYN12274 digested with *SmaI* + *PmeI*)

Figure 12. Copy number of functional element Southern blot analysis of 5307 maize with the 253 bp NOS terminator-specific probe, using restriction enzymes *KpnI*, *NcoI*, and *SmaI* + *PmeI* (Continued)

(B) *NcoI*



Lane B1 = molecular weight markers

Lane B2 = blank

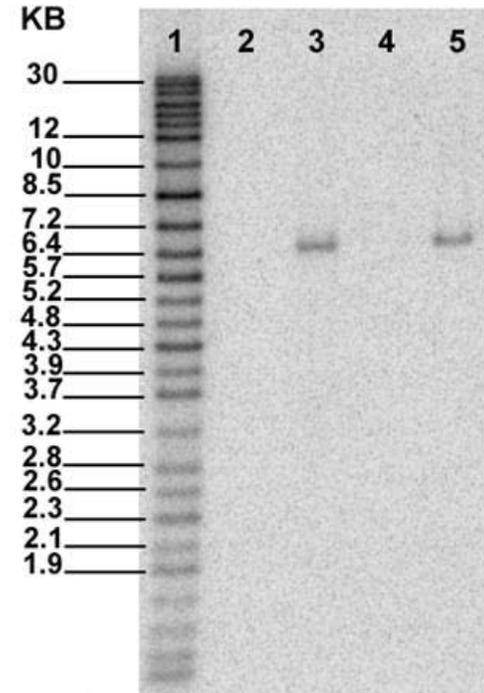
Lane B3 = 5307 NP2171 × BC5F<sub>3</sub> digested with *NcoI*

Lane B4 = NP2171/NP2460 digested with *NcoI*

Lane B5 = Positive control (NP2171/NP2460 digested with *NcoI* and 16.53 pg of pSYN12274 digested with *SmaI* + *PmeI* + *NcoI*)

Figure 12. Copy number of functional element Southern blot analysis of 5307 maize with the 253 bp NOS terminator-specific probe, using restriction enzymes *KpnI*, *NcoI*, and *SmaI* + *PmeI* (Continued)

(C) *SmaI* + *PmeI*



Lane C1 = molecular weight markers

Lane C2 = blank

Lane C3 = 5307 NP2171 × BC5F<sub>3</sub> digested with *SmaI* + *PmeI*

Lane C4 = NP2171/NP2460 digested with *SmaI* + *PmeI*

Lane C5 = Positive control (NP2171/NP2460 digested with *SmaI* + *PmeI* and 16.53 pg of pSYN12274 digested with *SmaI* + *PmeI*)

### Analysis for Plasmid pSYN12274 Backbone-specific Sequence

Figure 13 shows a map of the plasmid pSYN12274 indicating the location of the plasmid pSYN12274 backbone-specific probe and restriction sites for *Bst*EII, *Spe*I, and *Sma*I + *Pme*I. Figure 14 depicts the results of the corresponding Southern blot analyses, and Table 8 outlines the expected and observed sizes of the hybridization bands.

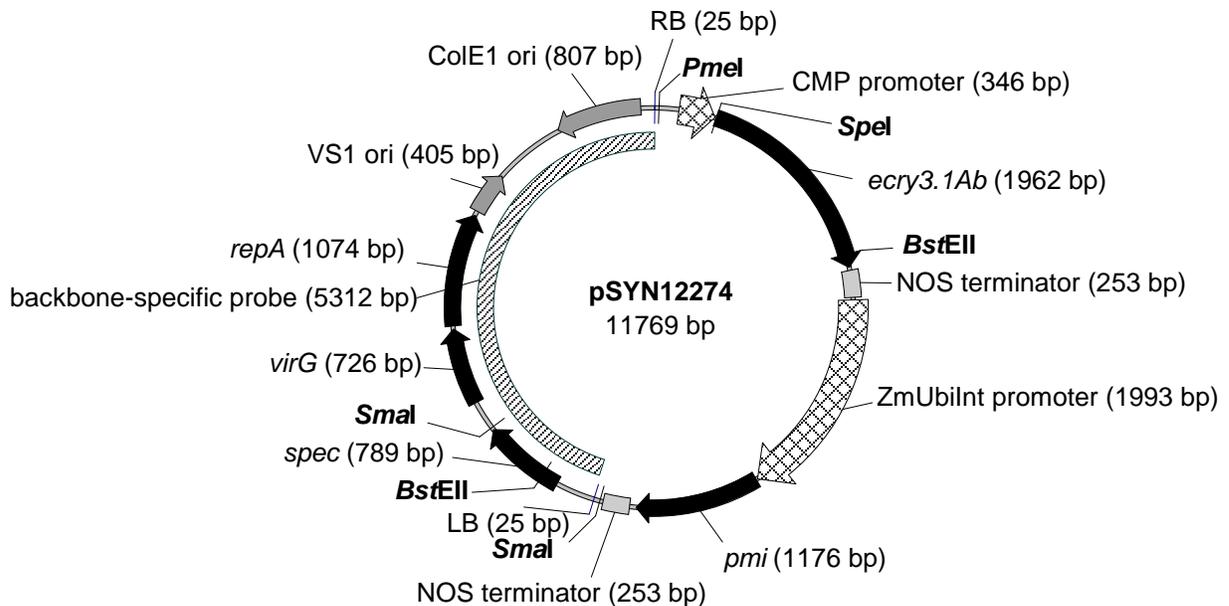
For Southern blot analyses with genomic DNA digested with *Bst*EII and probed with the plasmid pSYN12274 backbone-specific probe, no hybridization bands were observed in the lanes containing DNA extracted from plants grown from 5307 NP2171 × BC5F<sub>3</sub> (Figure 14, Lane 3) (Table 8) and NP2171/NP2460 (Figure 14, Lane 4) (Table 8) as expected.

For Southern blot analyses with genomic DNA digested with *Spe*I and probed with the plasmid pSYN12274 backbone-specific probe, no hybridization bands were observed in the lanes containing DNA extracted from plants grown from 5307 NP2171 × BC5F<sub>3</sub> (Figure 14, Lane 5) (Table 8) and NP2171/NP2460 (Figure 14, Lane 6) (Table 8) as expected.

For Southern blot analyses with genomic DNA digested with *Sma*I + *Pme*I and probed with the plasmid pSYN12274 backbone-specific probe, no hybridization bands were observed in the lanes containing DNA extracted from plants grown from 5307 NP2171 × BC5F<sub>3</sub> (Figure 14, Lane 7) (Table 8) and NP2171/NP2460 (Figure 14, Lane 8) (Table 8) as expected. The positive control (16.53 pg of plasmid pSYN12274 DNA digested with *Sma*I + *Pme*I and loaded with DNA extracted from NP2171/NP2460 plants) produced the expected hybridization bands of approximately 4.2 kb and 1.2 kb band (Figure 14, Lane 9).

No hybridization bands were detected in the test substance, illustrating that 5307 NP2171 × BC5F<sub>3</sub> maize does not contain any backbone sequences from the transformation plasmid pSYN12274.

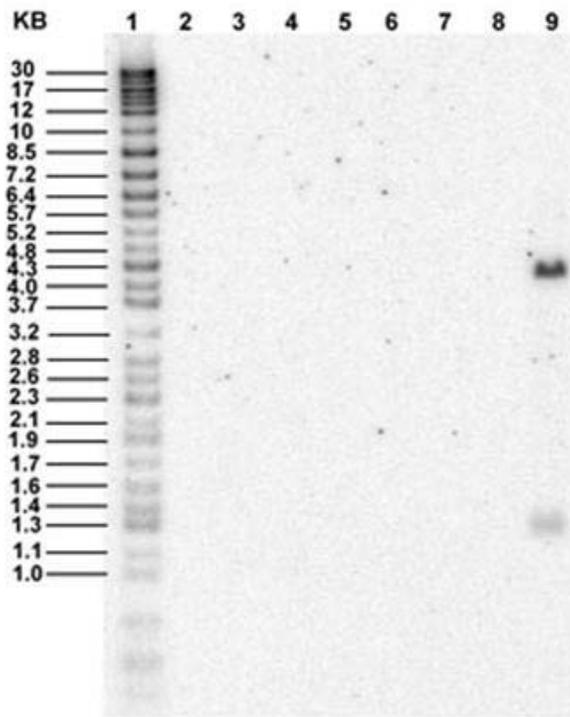
**Figure 13. Location of the *Bst*EII, *Spe*I, *Sma*I, and *Pme*I restriction sites and position of the 5312 bp plasmid backbone-specific probe in the transformation plasmid pSYN12274**



**Table 8. Expected and observed hybridization band sizes in Southern blot analysis of 5307 maize, using a plasmid pSYN12274 backbone-specific probe and restriction enzymes *Bst*EII, *Spe*I, and *Sma*I + *Pme*I**

Lane	Source of DNA	Restriction enzyme	Expected number of bands	Expected band size (kb)	Observed band size (kb)
Figure 14, 3	5307 NP2171 × BC5F <sub>3</sub>	<i>Bst</i> EII	none	none	none
Figure 14, 4	NP2171/NP2460	<i>Bst</i> EII	none	none	none
Figure 14, 5	5307 NP2171 × BC5F <sub>3</sub>	<i>Spe</i> I	none	none	none
Figure 14, 6	NP2171/NP2460	<i>Spe</i> I	none	none	none
Figure 14, 7	5307 NP2171 × BC5F <sub>3</sub>	<i>Sma</i> I + <i>Pme</i> I	none	none	none
Figure 14, 8	NP2171/NP2460	<i>Sma</i> I + <i>Pme</i> I	none	none	none
Figure 14, 9	Positive control (16.53 pg of pSYN12274 mixed with NP2171/NP2460 digested with <i>Sma</i> I + <i>Pme</i> I)	<i>Sma</i> I + <i>Pme</i> I	2	~1.2 ~4.2	~1.2 ~4.2

**Figure 14. Copy number of functional element Southern blot analysis of 5307 maize with the 5312 bp plasmid backbone-specific probe, using restriction enzymes *Bst*EII, *Spe*I, and *Sma*I + *Pme*I**



Lane 1 = molecular weight markers

Lane 2 = blank

Lane 3 = 5307 NP2171 × BC5F<sub>3</sub> digested with *Bst*EII

Lane 4 = NP2171/NP2460 digested with *Bst*EII

Lane 5 = 5307 NP2171 × BC5F<sub>3</sub> digested with *Spe*I

Lane 6 = NP2171/NP2460 digested with *Spe*I

Lane 7 = 5307 NP2171 × BC5F<sub>3</sub> digested with *Sma*I + *Pme*I

Lane 8 = NP2171/NP2460 digested with *Sma*I + *Pme*I

Lane 9 = Positive control (NP2171/NP2460 digested with *Sma*I and *Pme*I and 16.53 pg of pSYN12274 digested with *Sma*I + *Pme*I)

### Data Quality and Integrity

No circumstances occurred during the conduct of this study that would have adversely affected the quality or integrity of the data generated.

### CONCLUSION

Southern blot analyses of 5307 maize demonstrated that (1) 5307 maize contains a single copy of *ecry3.1Ab*, *pmi*, the CMP promoter sequence, and the ZmUbiInt promoter sequence and two copies of the NOS terminator sequence, as expected for a single insertion site; (2) there are no extraneous DNA fragments of the functional elements inserted elsewhere in the maize genome; (3) and 5307 maize is free of backbone sequence from the transformation plasmid pSYN12274.

**RECORDS RETENTION**

Raw data, the original copy of this report, and other relevant records are archived at Syngenta Biotechnology, Inc., 3054 East Cornwallis Road, Research Triangle Park, NC 27709-2257, USA.

**CONTRIBUTING SCIENTISTS**

The analytical work reported herein was conducted by Stephen New, B.S.; Nykoll Long, M.S.; and Annick de Framond, PhD. This work was conducted at Syngenta Biotechnology, Inc.

Reported by:  November 3, 2010  
 Stephen New  
*Technical Expert I*  
 Product Safety  
 Syngenta Biotechnology, Inc.  
 Date

Approved by:  November 3, 2010  
 Linda Meyer  
*Technical Leader III*  
 Product Safety  
 Syngenta Biotechnology, Inc.  
 Date

**REFERENCES****Published**

- Arumuganathan K, Earle ED. 1991. Nuclear DNA content of some important plant species. *Plant Mol Biol Rep* 9:208–218.
- Chen E, Stacy C. 2007. Modified Cry3A toxins and nucleic acid sequences coding therefor. U.S. Patent No. 7,276,583. Washington, DC: U.S. Patent Office.
- Chomczynski P. 1992. One-hour downward alkaline capillary transfer for blotting of DNA and RNA. *Anal Biochem* 201:134–139.
- Christensen AH, Sharrock RA, Quail PH. 1992. Maize polyubiquitin genes: structure, thermal perturbation of expression and transcript splicing, and promoter activity following transfer to protoplasts by electroporation. *Plant Mol Biol* 18:675–689.
- Depicker A, Stachel S, Dhaese P, Zambryski P, Goodman HM. 1982. Nopaline synthase: transcript mapping and DNA sequence. *J Mol Appl Genet* 1:561–573.
- Fling ME, Kopf J, Richards C. 1985. Nucleotide sequence of the transposon Tn7 gene encoding an aminoglycoside-modifying enzyme, 3''(9)-O-nucleotidyltransferase. *Nucleic Acids Res* 13:7095–7106.
- Geiser M, Schweizer S, Grimm C. 1986. The hypervariable region in the genes coding for entomopathogenic crystal proteins of *Bacillus thuringiensis*: nucleotide sequence of the *kurhd1* gene of subsp. *kurstaki* HD-1 *Gene* 48:109-118.
- Hansen G, Das A, Chilton M-D. 1994. Constitutive expression of the virulence genes improves the efficiency of plant transformation by *Agrobacterium*. *Proc Natl Acad Sci USA* 91:7603-7607.
- Heeb S, Itoh Y, Nishijyo T, Schnider U, Keel C, Wade J, Walsh U, O'gara F, Haas D. 2000. Small, stable shuttle vectors based on the minimal pVS1 replicon for use in Gram-negative, plant-associated bacteria. *Mol Plant Microbe Interact* 13:232-237.
- Hofte H, Whiteley H. 1989. Insecticidal Crystal Proteins of *Bacillus thuringiensis*. *Microbiol. Rev.* 53:242-255
- Hohn T, Stavolone L, De Haan P, Ligon H, Kononova M. 2007. Cestrum yellow leaf curling virus promoters. U.S. Patent No.7,166,770. Washington DC: U.S. patent Office.
- Ingham DJ, Beer S, Money S, Hansen G. 2001. Quantitative real-time PCR assay for determining transgene copy number in transformed plants. *BioTechniques* 31:132–140.

- Itoh T, Tomizawa J. 1979. Initiation of replication of plasmid ColE1 DNA by RNA polymerase, ribonuclease H and DNA polymerase I. *Cold Spring Harbor Symposium on Quantitative Biology* 43:409-417.
- Itoh Y, Watson J, Haas D, Leisinger T. 1984. Genetic and molecular characterization of the Pseudomonas plasmid pVS1. *Plasmid* 11:206-220.
- Komari T, Hiei Y, Saito Y, Murai N, Kumashiro T. 1996. Vectors carrying two separate T-DNAs for co-transformation of higher plants mediated by *Agrobacterium tumefaciens* and segregation of transformants free from selection markers. *Plant J* 10:165-174.
- Kozziel MG, Desai NM, Lewis KS., Kramer VC, Warren GW, Evola SV, Crossland LD, Wright MS, Merlin EJ, Launis KL, Rothstein SJ, Bowman CG, Dawson JL, Dunder EM, Pace GM, Suttie JL. 1997. Synthetic DNA sequence having enhanced insecticidal activity in maize. Ciba-Geigy, assignee. U.S. Patent No. 5,625,136. Washington, DC: U.S. Patent Office.
- Murray EE, Lotzer J, Eberle M. 1989. Codon usage in plant genes. *Nucleic Acids Res* 17:477-498.
- NCBI. 2010 Entrez Nucleotide Database. Bethesda, MD: National Center for Biotechnology Information, National Library of Medicine, National Institutes of Health. <http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?db=Nucleotide>.
- Negrotto D, Jolley M, Beer S, Wenck AR, Hansen G. 2000. The use of phosphomannose-isomerase as a selectable marker to recover transgenic maize plants (*Zea mays L.*) via *Agrobacterium* transformation. *Plant Cell Rep* 19:798-803.
- Saghai-Marroof MA, Soliman KM, Jorgensen RA, Allard RW. 1984. Ribosomal DNA spacer-length polymorphisms in barley: Mendelian inheritance, chromosomal location, and population dynamics. *P Natl Acad Sci USA* 81:8014-8018.
- Sekar V, Thompson DV, Maroney MJ, Bookland RG, Adang MJ. 1987. Molecular cloning and characterization of the insecticidal crystal protein gene of *Bacillus thuringiensis* var. *tenebrionis*. *Proc Natl Acad Sci USA* 84:7036-7040.
- US EPA. 1989. Good Laboratory Practices Standards. 40 CFR Part 160.
- Walters FS, deFontes CM, Hart H, Warren GW, Chen JS. 2010. Lepidopteran-active variable-region sequence imparts coleopteran activity in eCry3.1Ab, an engineered *Bacillus thuringiensis* hybrid insecticidal protein. *Appl Environ Microb* 76:3082-3088.

Wang K, Herrera-Estrella L, Van Montagu M, Zambryski P. 1984. Right 25 bp terminus sequence of the nopaline T-DNA is essential for and determines direction of DNA transfer from *Agrobacterium* to the plant genome. *Cell* 38:455–462.

Xing Y-J, Ji Q, Yang Q, Luo Y-M, Li Q, Wand X. 2008. Studies on *Agrobacterium*-mediated genetic transformation of embryogenic suspension cultures of sweet potato. *Afr J Biotechnol* 7:534-540.

Zambryski P, Depicker A, Kruger K, Goodman HM. 1982. Tumor induction by *Agrobacterium tumefaciens*: analysis of the boundaries of T-DNA. *J Mol Appl Genet* 1:361–370.

### **Unpublished**

de Framond A. 2009. *Molecular Characterization of Event 5307 Maize in Support of the EUP Application*. Report No. SSB-164-08 (unpublished). Research Triangle Park, NC: Syngenta Biotechnology, Inc.